

June 2, 2014

Burl W. Haar Executive Secretary Minnesota Public Utilities Commission 121 7th Place East, Suite 350 St. Paul, Minnesota 55101-2147

RE: Comments of the Minnesota Department of Commerce, Division of Energy

Resources

Docket No. G001/M-14-283

Dear Dr. Haar:

Attached are the *Comments* of the Minnesota Department of Commerce, Division of Energy Resources (Department) in the following matter:

A Request by Interstate Power and Light Company (IPL or the Company) for approval of the Company's 2013 Conservation Cost Recovery Adjustment and 2013 Natural Gas Demand Side Management Financial Incentive (*Petition*).

The Petition was filed on April 1, 2014 by:

Paula N. Johnson Senior Attorney Interstate Power and Light Company 200 First Street SE, PO Box 351 Cedar Rapids, Iowa 52406-0351

The Department will provide its final recommendation after reviewing IPL's *Reply Comments*. The Department is available to answer any questions that the Minnesota Public Utilities Commission may have.

Sincerely,

/s/ HOLLY LAHD Rates Analyst

HL/lt Attachment

BEFORE THE MINNESOTA PUBLIC UTILITIES COMMISSION

COMMENTS OF THE MINNESOTA DEPARTMENT OF COMMERCE DIVISION OF ENERGY RESOURCES

DOCKET NO. G001/M-14-283

I. SUMMARY OF THE UTILITY'S PROPOSAL

On April 1, 2014, Interstate Power and Light Company (IPL or the Company) submitted its annual Conservation Improvement Program (CIP) report (*Report* or *Petition*) for 2013 filing with the Minnesota Public Utilities Commission (Commission) in Docket No. G001/M-14-283. The *Petition* contains the following sections:

- proposed recoveries and expenditures in the Company's natural gas (gas)
 Conservation Improvement Program (CIP) tracker account during 2013,
- proposed change in the Company's currently approved gas Conservation Cost Recovery Adjustment (CCRA), and
- request for approval of a proposed gas Demand Side Management (DSM) financial incentive of \$37,206.56 for 2013.

The Company also filed its 2013 CIP Status Report (Status Report). The Status Report is intended to fulfill the requirements of the Minnesota Department of Commerce, Division of Energy Resources' (Department) annual CIP reporting rules contained in Minnesota Rules part 7690.0500. Since the Company's Status Report does not require Commission approval, this portion of the Petition has been assigned to a separate docket.¹

The *Petition* contains data relevant to the Company's electric utility. The Department will not comment here on information related to the electric utility in this docket; instead see Docket No. E001/M-14-284.

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¹ See Docket No. E,G001/CIP-12-484.01.

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II. THE DEPARTMENT'S ANALYSIS

The Department's analysis of IPL's *Petition* is provided below in the following sections:

- in Section II.A, IPL's proposed 2013 gas DSM financial incentive;
- in Section II.B, IPL's proposed 2013 gas CIP tracker account;
- in Section II.C, IPL's proposed gas CCRA; and
- in Section II.D, a review of IPL's gas DSM and CIP activities for the period 2008 through 2013.

A. IPL'S PROPOSED GAS DSM FINANCIAL INCENTIVE FOR 2013

1. Background and Summary of IPL's Proposed Gas 2013 DSM Financial Incentive

Under the Shared Savings DSM financial incentive plan approved by the Commission in Docket No. E,G999/Cl-08-133, IPL may request Commission approval of a performance bonus based on the percent of net benefits that the Company achieves. The Commission's January 27, 2010 *Order* explains the incentive as follows:

The New Shared Savings approach emphasizes a 1.5 percent energy savings goal, and ties the incentive earned by the utility to pursuit of the 1.5 percent savings goal. The new approach sets a specific dollar amount per unit of energy saved that each utility will earn at energy savings equal to 1.5 percent of annual non-CIP exempt retail sales. That is referred to as the incentive calibration.

The higher the calibration, the higher the incentive will be at all energy savings levels after the threshold. Specifically, each utility's incentive is calibrated so that when the utility achieves energy savings equal to 1.5 percent of retail sales, electric utilities will earn an incentive equal to \$0.09 times the number of kWh saved² and gas utilities will earn at a range from \$4.50 to \$6.50 times the number of thousand cubic feet (Mcfs) saved.

Importantly, the incremental incentive per additional energy savings achieved is higher than the average incentive achieved. Thus, the closer the energy savings is to reaching the 1.5 percent energy savings goal, the greater the incremental

² This calibration was modeled to result in a utility receiving an incentive at historical levels when historical energy savings are achieved.

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incentive. However, the incentive is still less than the net benefits created through the savings, therefore reserving a majority of the net benefits for ratepayers.

Mandated assessments to utilities will not be included in the calculation of net benefits. Further, as agreed to by the working group, a utility can elect before the beginning of each year whether to include third party programs in its incentive calculation. The costs and benefits of non-elected third party programs will not be included in the calculation.

With respect to net benefits, IPL provided in its *Petition* the benefit/cost results of the revenue requirements test associated with the Company's 2013 gas CIP. According to the Company, this test results in \$488,818 of net benefits from gas CIP activities in 2013. IPL also stated that its gas CIP activities achieved energy savings in 2013 of 10,768 Dekatherm (Dth). Based on the terms and conditions of its approved DSM incentive plan, IPL requested approval of a gas DSM financial incentive of \$37,206.56 2013.³

2. The Department's Review of IPL's Proposed 2013 Gas DSM Financial Incentive

The Department's CIP Engineering Staff review of the demand and energy savings that underpin IPL's proposed 2013 DSM financial incentive is on-going.⁴ In all likelihood, it will not be completed before the fall of 2014. This lag between the Company's request for recovery of the incentive and completion of the Department CIP Engineering Staff review is a recurring phenomenon. In its review of IPL's 2013 DSM financial incentive filing,⁵ the Department compensated for this lag by simply assuming IPL's claimed energy savings for 2012 were correct as filed and planned to make, in the instant docket, any adjustments approved by the Deputy Commissioner of the Department. However, the Deputy Commissioner accepted IPL's 2013 Status Report without any adjustments⁶ and thus none need to be made in the instant docket.

As was done last year, the Department's analysis assumes that IPL's claimed 2013 energy savings are correct as filed. If the Deputy Commissioner of the Department subsequently approves changes to IPL's energy savings claims that impact either recovery of CIP budgets or levels of Shared Savings DSM financial incentives, those changes can be incorporated in the Company's 2014 filing that will be made April 1, 2015.

IPL's reported gas energy savings level is 10,768 Dth, in the Company's 2013 Status Report. The Department used the 10,768 Dth energy savings in its review in this docket.

³ See Tab 3 of the Company's *Petition*.

⁴ Docket No. E,G001/CIP-12-484.01

⁵ Docket No. G001/M-13-241

⁶ Docket No. E,G001/CIP-09-636.03

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3. The DOC's Overall Recommendation Concerning IPL's 2013 Gas DSM Financial Incentive

The Department recommends that the Commission approve IPL's proposed 2013 gas DSM financial incentive of \$37,206.56 to be included in the Company's gas CIP tracker account no sooner than the issue date of the Commission's *Order* in the present docket.

B. IPL'S 2013 GAS CIP TRACKER ACCOUNT

1. Overall 2013 Tracker Account

In its *Petition*, IPL provided a report on recoveries and expenditures in the Company's gas CIP tracker account during 2013.⁷ Table 1 below provides a summary of activity in the Company's gas CIP tracker account during 2013, including the 2011 and 2012 DSM financial incentives.

Table 1: Summary of IPL's	Gas CIP Tracker Account Activity ir	2013
Description	Time Period	<u>Amount</u>
Beginning Balance	December 31, 2012 January 1 through December 31,	\$209,087
CIP Expenses	2013 January 1 through December 31,	\$362,781
Carrying Charges	2013	(\$14,166)
2011 DSM Financial Incentive		\$15,349
2012 DSM Financial Incentive		\$20,097
Recovered through CIP Adjustment Factor	January 1 through December 31, 2013 January 1 through December 31,	(\$488,431)
Recovered in Base Rates	2013	(\$317,992)
Ending Balance	31-Dec-13	(\$213,237)

IPL did not include the 2011 and 2012 financial incentives in the Tracker activity listed in Tab 16 of the *Petition*. The Department included these incentives in the Table 1 tracker summary. The Department recommends that IPL, in reply comments, confirm that the ending balance of (\$213,237) is correct when the incentives are recorded.

The Department will provide a recommendation on IPL's Tracker Account after receiving IPL's *Reply Comments*.

⁷ See Tab 16, Page 11 of 25 of the *Petition* for the CIP Tracker activity data and Table 2-1b on page 7 for the 2011 and 2012 gas DSM financial incentive awards.

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C. IPL'S PROPOSED GAS CCRA

In its *Petition,* IPL requested approval of a revised CCRA of \$0.0033 per therm, effective with the June 2014 billing month. However, IPL's proposed CCRA calculation assumes the 2013 year end tracker balance is (\$248,721), which is the tracker balance without recording the 2011 and 2012 financial incentives received in 2013. The Department calculated the CCRA rate assuming the yearend tracker balance total of (\$213,237); this balance includes the 2011 and 2012 financial incentives. The Department's CCRA calculation is summarized below in Table 3.

Table 3: IPL's Calculation of its Proposed Gas CCRA							
Description	<u>Amount</u>						
Gas CIP Tracker Account Balance as of December 31, 2013	(\$213,237)						
CIP Approved Spending Levels for 12 Months beginning January 2014	\$497,245						
CIP Cost Recovery via Base Rates - January-December 2014	(\$328,317)						
CIP Cost Recovery via existing CCRA credit – January-May 2014	\$145,568						
Beginning CIP Tracker Account Balance – June 2014	\$101,259						
Projected Annual Therm Sales for 2014	19,873,897						
Proposed CCRA (\$/Therm)	\$0.0051						

Table 4 below compares the Department calculated CCRA of \$0.0051 per therm in the present docket with the Company's current CCRA of (\$0.0135) per therm, which was approved in Docket No. G001/M-13-241.

Tabl	le 4: Comparison of IP	L's Current and Proposed	d Gas CCRAs	
Current Co			Change Po	ercent
(\$/Therr	m) (\$/	<u>'Therm) (\$</u>	S/Therm) (<u>Change</u>
(\$0.013	\$5)	0.0051 \$	0.0168	138%

As indicated in Table 4, the revised gas CCRA represents a 138 percent increase from the current gas CCRA credit. While this is a large percentage increase over the current credit, keeping the tracker balance near zero will reduce carrying charges for IPL ratepayers. The Department recommends that the Commission approve a gas CCRA of \$0.0051 per therm for all IPL's Minnesota customer classes, to be effective on the first billing cycle in the next full month after Commission approval, conditioned on the Company submitting, within 10 days of the issue date of the *Order* in the present docket, a compliance filing with the relevant tariff sheets and necessary calculations that comply with the Commission's determinations.

With respect to customer notification, the Department recommends that the Commission require IPL to include the following bill message (with the appropriate date) in the billing

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month immediately following the date of the *Order* in the present docket. This message is based the message IPL proposed on page 63 of its *Petition*.

IPL recovers the costs of its energy conservation programs in Minnesota through a Conservation Cost Recovery adjustment (CCRA). The CCRA is an annual adjustment subject to Minnesota Public Utilities Commission approval. Based on actual and anticipated energy efficiency costs, the revised 2014 CCRA, as approved the Commission, will be \$0.0051/therm effective [insert date]. Learn more about reducing your energy use by visiting our website at www.alliantenergy.com/save or calling us at 1-866-255-4268.

D. REVIEW OF IPL'S GAS DSM AND CIP ACTIVITIES (2008-2013)

In Table 5 below, the Department presents a historical comparison of IPL's gas DSM and CIP activities for the period 2008 through 2013. Table 5 provides an indication of how the Company's DSM financial incentives, carrying charges, year-end tracker balances, CIP expenditures, and reported energy savings changed during the period.

Table 5: His	Table 5: History of IPL's CIP Achievements, Expenditures, and Incentives									
	2008	2009	2010	2011	2012	2013 ⁸				
DSM Financial Incentive	\$86,463	\$86,463	\$85,716	\$15,349	\$20,097	\$37,207				
Incentive as a % of CIP Expenditures	23.99%	12.42%	11.67%	3.68%	5.14%	10.3%				
Carrying Charges	\$49,433	\$52,032	\$79,222	\$81,683	\$36,446	(\$14,166)				
Carrying Charges as a % of Expenditures	13.71%	7.48%	10.79%	19.56%	6.76%	(3.9%)				
Year-End Tracker Balance	\$827,440	\$1,146,562	\$1,462,458	\$970,095	\$209,087	(\$213,237)				
CIP Expenditures	\$360,440	\$695,977	\$734,449	\$417,652	\$391,089	\$362,781				
Achieved Energy Savings (Dth) ⁹	18,466	25,871	21,889	11,312	12,905	10,768				
Average Cost per Dth saved	\$19.52	\$26.90	\$33.54	\$36.92	\$30.31	\$33.69				

⁸ The DSM financial incentive, CIP expenditures, and CIP tracker account balance for 2013 listed in Table 5 are proposed by IPL in the present docket, and as corrected by the Department to include the Company's 2011 and 2012 DSM financial incentives.

^{9 2008 - 2012} achieved energy savings were reported in 1,000 cubic feet (Mcf).

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As indicated in Table 5, compared to 2012, IPL's 2013:

- proposed incentive level is higher;
- energy savings are lower;
- year-end tracker balance is lower;
- carrying charges are lower¹⁰; and
- average cost per unit of energy saved is higher.

E. IPL'S 2014 GAS CIP TRACKER AND CCRA

The Department has identified a number of areas in which IPL's next CIP tracker and CCRA filing could be improved. To be consistent with CCRA implementation experience and the practice of other Minnesota gas utilities the Department recommends IPL make the following changes in its 2014 CIP tracker and CCRA calculation:

- 1. IPL should record any DSM financial incentives awarded during the calendar year of the tracker filing in question;
- Based on experience from previous CCRA filings, IPL should calculate its proposed CCRA rate assuming that the rate will be effective November of the filing year;
- 3. IPL should include its estimated next year DSM financial incentive in the CCRA calculation to minimize fluctuations in the tracker account balance; and
- 4. IPL should use the projected therm sales for the period the proposed CCRA will be effective, not the projected sales for the calendar year. For example, in IPL's 2014 filing the Company should use projected sales for November 2015 through October 2016 in its calculation.

III. THE DEPARTMENT'S RECOMMENDATIONS

Based on the analysis provided above, the Department recommends that the Commission:

- 1) approve IPL's 2013 DSM financial incentive of \$37,207 to be included in the Company's CIP tracker account no sooner than the issue date of the Commission's *Order* in the present docket;
- approve the revised gas CCRA of \$0.0051 per therm for all of IPL's Minnesota customer classes, to be effective on the first billing cycle in the next full month after Commission approval, conditioned on the Company submitting, within 10 days of the issue date of the *Order* in the present docket, a compliance filing

¹⁰ Carrying charges are considered lower than the previous year if a utility's customers are paying less in interest on CIP funds that have not yet been collected. In this case, IPL has over collected from customers and owes customers interest on the over collection.

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- 3) with the relevant tariff sheets and necessary calculations that comply with the Commission's determinations; and
- 4) require IPL to include the following bill message (with the appropriate date) in the billing month immediately following the date of the *Order* in the present docket:

IPL recovers the costs of its energy conservation programs in Minnesota through a Conservation Cost Recovery adjustment (CCRA). The CCRA is an annual adjustment subject to Minnesota Public Utilities Commission approval. Based on actual and anticipated energy efficiency costs, the revised 2014 CCRA, as approved the Commission, will be \$0.0051/therm effective [insert date]. Learn more about reducing your energy use by visiting our website at www.alliantenergy.com/save or calling us at 1-866-255-4268.

The Department will make a recommendation on the CIP Tracker Account after reviewing the Company's *reply comments*.

Finally, the Department requests that IPL make the following changes in its 2014 CIP tracker and CCRA calculation:

- 1. IPL should record any DSM financial incentives awarded during the calendar year of the tracker filing in question:
- 2. Based on experience from previous CCRA filings, IPL should calculate its proposed CCRA rate assuming that the rate will be effective November of the filing year;
- 3. IPL should include its estimated next year DSM financial incentive in the CCRA calculation to minimize fluctuations in the tracker account balance; and
- 4. IPL should use the projected therm sales for the period the proposed CCRA will be effective, not the projected sales for the calendar year. For example, in IPL's 2014 filing the Company should use projected sales for November 2015 through October 2016 in its calculation.

CERTIFICATE OF SERVICE

I, Sharon Ferguson, hereby certify that I have this day, served copies of the following document on the attached list of persons by electronic filing, certified mail, e-mail, or by depositing a true and correct copy thereof properly enveloped with postage paid in the United States Mail at St. Paul, Minnesota.

Minnesota Department of Commerce Comments

Docket No. G001/M-14-283

Dated this 2nd day of June 2014

/s/Sharon Ferguson

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Gary	Olson		Product Recovery, Inc.	2605 E Cliff Rd Burnsville, MN 55337	Paper Service	No	OFF_SL_14-283_M-14-283
Kim	Pederson	kpederson@otpco.com	Otter Tail Power Company	215 S Cascade St PO Box 496 Fergus Falls, MN 565380496	Electronic Service	No	OFF_SL_14-283_M-14-283
Audrey	Peer	audrey.peer@centerpointe nergy.com	CenterPoint Energy	800 Lasalle Avenue - 14th Floor Minneapolis, Minnesota 55402	Electronic Service	No	OFF_SL_14-283_M-14-283
Lisa	Pickard	Ipickard@minnkota.com	Minnkota Power Cooperative	1822 Mill Rd PO Box 13200 Grand Forks, ND 582083200	Electronic Service	No	OFF_SL_14-283_M-14-283
Bill	Poppert		Technology North	2433 Highwood Ave St. Paul, MN 55119	Paper Service	No	OFF_SL_14-283_M-14-283

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Ken	Smith	ken.smith@districtenergy.c om	District Energy St. Paul Inc.	76 W Kellogg Blvd St. Paul, MN 55102	Electronic Service	No	OFF_SL_14-283_M-14-283
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Leo	Steidel	N/A	The Weidt Group	5800 Baker Rd Minnetonka, MN 55345	Paper Service	No	OFF_SL_14-283_M-14-283
John	Steinhoff		Resource Solutions, Inc.	318 Kensington Drive Madison, WI 53704	Paper Service	No	OFF_SL_14-283_M-14-283
Richard	Szydlowski	N/A	Center for Energy & Environment	212 3rd Ave N Ste 560 Minneapolis, MN 55401-1459	Paper Service	No	OFF_SL_14-283_M-14-283

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Carl	Nelson	cnelson@mncee.org	Center for Energy and Environment	212 3rd Ave N Ste 560 Minneapolis, MN 55401	Electronic Service	No	SPL_SLCIP SPECIAL SERVICE LIST
Gary	Olson		Product Recovery, Inc.	2605 E Cliff Rd Burnsville, MN 55337	Paper Service	No	SPL_SLCIP SPECIAL SERVICE LIST
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Leo	Steidel	N/A	The Weidt Group	5800 Baker Rd Minnetonka, MN 55345	Paper Service	No	SPL_SLCIP SPECIAL SERVICE LIST
John	Steinhoff		Resource Solutions, Inc.	318 Kensington Drive Madison, WI 53704	Paper Service	No	SPL_SL_CIP SPECIAL SERVICE LIST
Richard	Szydlowski	N/A	Center for Energy & Environment	212 3rd Ave N Ste 560 Minneapolis, MN 55401-1459	Paper Service	No	SPL_SL_CIP SPECIAL SERVICE LIST
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Steve	Tomac	N/A	Basin Electric Power Cooperative	1717 E Interstate Ave Bismarck, ND 58501	Paper Service	No	SPL_SL_CIP SPECIAL SERVICE LIST
Lisa	Wilson	lisa.wilson@enbridge.com	Enbridge Energy Company, Inc.	1409 Hammond Ave FL 2 Superior, WI 54880	Electronic Service	No	SPL_SL_CIP SPECIAL SERVICE LIST
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