

**In the Matter of Possible Amendments to Rules Governing Utility Proceeding, Practice,
and Procedure, Minnesota Rules Chapter 7829, Excluding Part 7829.2550,
Which is Subject to a Separate Pending Rulemaking**

U-999/R-13-24

Supplemental Decision Option:

- D. Determine that the amendments in the attached draft are needed and reasonable. Direct staff to prepare and publish a Notice of Intent to Adopt and a Statement of Need and Reasonableness based on the attached draft. Delegate to Commissioner Lipschultz the authority to approve any necessary, non-substantive edits to the draft prior to publication in the State Register.

(This decision option pertains to the attached draft. Highlighted portions in the attached draft indicate modifications from the Revisor's Draft attached to the briefing papers. The attached draft incorporates the modifications recommended by staff in the briefing papers; additional changes in rule parts 7829.0100, .0400, .0500, .0600, .0700, .0800, .1250, .1300, .1400, .2400, .2500, .2700, .3150; and a new rule part addressing withdrawal of parties from a proceeding.)

CHAPTER 7829
PUBLIC UTILITIES COMMISSION
UTILITY PROCEEDING, PRACTICE, PROCEDURE

- 7829.0100 DEFINITIONS.
- 7829.0200 SCOPE AND CONSTRUCTION.
- 7829.0250 REPRESENTATIONS TO THE COMMISSION.
- 7829.0300 COMPUTATION OF TIME.
- 7829.0400 SERVICE AND FILING REQUIREMENTS.
- 7829.0410 MOTION FILINGS.
- ~~7829.0500 PROTECTED DATA TRADE SECRET AND PROPRIETARY INFORMATION.~~
- 7829.0600 GENERAL SERVICE LIST.
- 7829.0700 OFFICIAL SERVICE LIST.
- 7829.0800 PETITION TO INTERVENE.
- 7829.0900 PARTICIPANT.
- 7829.1000 REFERRAL FOR CONTESTED CASE PROCEEDING.
- 7829.1050 UNCONTESTED PROCEEDING SUBCOMMITTEE.
- 7829.1100 PUBLIC HEARING.
- 7829.1200 INFORMAL OR EXPEDITED PROCEEDING.
- 7829.1250 COMMENT PROCEDURE VARIATION.
- 7829. TIME PERIODS VARIED.
- ~~7829.1300 MISCELLANEOUS TARIFF AND PRICE LIST FILINGS.~~
- ~~7829.1400 MISCELLANEOUS TARIFF, PRICE LIST FILING; COMMENTS.~~
- 7829.1500 INFORMAL COMPLAINT.
- 7829.1600 TREATMENT OF INFORMAL COMPLAINT.
- 7829.1700 FORMAL COMPLAINT.
- 7829.1800 INITIAL CONSIDERATION OF FORMAL COMPLAINT.
- 7829.1900 COMMISSION ACTION ON FORMAL COMPLAINT; COMMENTS.
- 7829.2000 ELECTRIC SERVICE AREA COMPLAINT.
- 7829.2100 COMMISSION ACTION ON SERVICE AREA COMPLAINT; COMMENTS.
- 7829.2300 CLASSIFICATION PETITION.
- 7829.2400 FILING REQUIRING DETERMINATION OF GROSS REVENUE.
- 7829.2500 CERTIFICATE OF NEED FILING.
- 7829.2550 NOTICE PLANS WHEN SEEKING CERTIFICATION OF HIGH-VOLTAGE TRANSMISSION LINES.
- 7829.2560 NOTICE PLANS WHEN SEEKING CERTIFICATION OF PIPELINES.
- 7829.2600 STAFF COMMENTS.
- 7829.2700 PROCEDURE AFTER ADMINISTRATIVE LAW JUDGE REPORT.
- 7829.2800 GENERAL NOTICE REQUIREMENT.
- 7829.2900 DECISION AND ORDER.
- 7829.3000 PETITION AFTER COMMISSION DECISION.
- 7829.3100 TIME PERIODS VARIED.
- 7829.3150 UNTIMELY FILINGS.
- 7829.3200 OTHER VARIANCES.
- 7829. WITHDRAWAL OF FILINGS.
- 7829. WITHDRAWAL OF A PARTY.

7829. EMERGENCY CIRCUMSTANCES.

7829.0100 DEFINITIONS.

Subpart 1. Scope.

The terms used in this chapter have the meanings given them in this part.

Subp. 2. Classification petition.

"Classification petition" means a petition filed by a telephone company to classify a telephone service as subject to emerging or effective competition under Minnesota Statutes, section [237.59](#).

Subp. 3. Commission.

"Commission" means the Minnesota Public Utilities Commission.

Subp. 4. Complainant.

"Complainant" means a person who complains formally or informally of an alleged violation of a statute or rule within the commission's jurisdiction, a utility tariff, or a commission order.

Subp. 5. Cost increase filing.

~~"Cost increase filing" means a miscellaneous tariff filing under Minnesota Statutes, section [237.63](#), proposing a rate increase for a particular telephone service on grounds that the actual costs of providing that particular service have increased. It does not include cost increases that are part of overall cost increases and cost increases that have been discovered as a result of new cost studies.~~

Subp. 6. Department.

"Department" means the Minnesota Department of Commerce.

Subp. 7. Expedited proceeding.

"Expedited proceeding" means an informal proceeding described in Minnesota Statutes, section [237.61](#), and subject to specific procedural requirements such as verification of pleadings.

Subp. 8. Informal proceeding.

"Informal proceeding" means a proceeding that addresses and resolves issues of public policy, fact, or law without a formal contested case proceeding before the Office of Administrative Hearings.

Subp. 9. Intervenor.

"Intervenor" means a person permitted to intervene as a party in a proceeding under this chapter.

Subp. 10. Language change filing.

~~"Language change filing" means a miscellaneous tariff filing under Minnesota Statutes, section [237.63](#), changing descriptive language in a telephone utility tariff without changing the meaning or operation of the tariff.~~

Subp. 11. Miscellaneous tariff filing.

"Miscellaneous tariff filing" means any request or notice that does not require determination of the utility's revenue requirement.

A miscellaneous tariff filing includes a filing involving a new service offering; a change in a utility's rates, services, terms, or conditions of service; a change in a utility's corporate structure, assigned service area, or capital structure, when conducted separately from a general rate proceeding; filings made under the rules governing automatic adjustment of charges; or any related matter. ~~The term also includes a language change filing, cost increase filing, and rate reduction filing.~~

The inclusion of a particular type of filing in this list does not require a filing that would not otherwise be required or confer jurisdiction that would not otherwise be present.

Subp. 11a. Motion filing.

“Motion filing” means a written request for specific commission action by a party within the context of an ongoing proceeding. This does not include recommendations for action made in comments, or motions made during a proceeding before an administrative law judge.

Subp. 12. Municipality.

"Municipality" includes a town, statutory city, and home rule charter city.

Subp. 13. Participant.

"Participant" means a person who files comments or appears in a proceeding, other than public hearings held in contested cases and other commission proceedings conducted to receive general public comments, to present views without becoming a party.

Subp. 14. Party.

"Party" means a person by or against whom a proceeding before the commission is commenced or a person permitted to intervene in a proceeding under this chapter. A party to a proceeding is styled a "petitioner," "complainant," "intervenor," or "respondent," according to the nature of the proceeding and the relationship of the party to the proceeding.

Subp. 15. Person.

"Person" means a natural person, corporation, municipal corporation, public corporation, utility, governmental entity, government agency, association, partnership, receiver, joint venture, trustee at common law or statutory trust guardian, or executor.

Subp. 16. Petitioner.

"Petitioner" means a person who requests the commission's permission, authorization, or approval or a person who notifies the commission of a proposed change in a rate, service, or term or condition of service.

Subp. 17. Price list filing.

~~"Price list filing" means a filing under Minnesota Statutes, section [237.60](#), pertaining to telephone services claimed to be subject to emerging or effective competition.~~

Subp. 18. Proceeding.

"Proceeding" means a formal or informal undertaking of the commission, in which it seeks to resolve a question or issue taken up on its own motion or presented to it in a complaint, petition, or notice of a proposed change in a rate, service, or term or condition of service.

Subp. 19. Proof of service.

"Proof of service" means a certificate of service stating the facts of service, including the time and manner of service and the parties served.

Subp. 19a. Protected data.

“Protected data” means data filed with the commission that is either

- a. not public data or private data on individuals under the Minnesota Government Data Practices Act, or
- b. data that is protected from disclosure pursuant to the rules of privilege recognized by law.

Subp. 20. Rate reduction filing.

~~"Rate reduction filing" means a miscellaneous tariff filing under Minnesota Statutes, section [237.63](#), proposing a reduction in a rate for telephone service.~~

Subp. 20a. Qualified Complainant.

“Qualified Complainant” means a person authorized by law to make a formal complaint to the commission.

Subp. 21. Respondent.

"Respondent" means a person against whom a complaint is filed or against whom an investigation or other proceeding on commission motion is addressed.

Subp. 22. Suspend.

"Suspend" means to hold in abeyance or to delay the effective date of.

Subp. 23. Utility.

"Utility" means an entity subject to the jurisdiction of the commission, including a gas, or electric service provider, or telephone utility under rule 7810.0100, subp. 37. ~~company subject to the jurisdiction of the commission.~~

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116; L 2001 1Sp4 art 6 s 1

Posted:

August 21, 2007

7829.0200 SCOPE AND CONSTRUCTION.

Subpart 1. Construction.

This chapter must be construed to secure the just, speedy, and economical determination of issues before the commission. This chapter must be construed in light of the commission's statutory authority and responsibilities.

Subp. 2. Conflicting statutes and substantive rules to control.

This chapter governs practice and procedure in matters before the commission except when a statute or a rule on a specific topic contains procedural requirements in direct conflict with this chapter. Then, the statute or rule on a specific topic controls insofar as it is in direct conflict with this chapter.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.0250 REPRESENTATIONS TO THE COMMISSION.

Any person who signs a pleading, motion or similar filing or enters an appearance at a commission meeting, by doing so, represents that the person is authorized to do so and has a good faith belief that statements of fact made therein are true and correct, and that legal assertions made by him or her are warranted by existing law or by a nonfrivolous argument for the extension or reversal of existing law or the modification or establishment of regulations.

Statutory Authority:

MS s [216A.05](#)

7829.0300 COMPUTATION OF TIME.

In computing a period of time prescribed by this chapter, the commission shall exclude the first and include the last day of the designated period of time. When the last day of the time period falls on Saturday, Sunday, or a legal holiday, that day must be omitted from the computation.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.0400 SERVICE AND FILING REQUIREMENTS.

Subpart 1. Filing.

~~Documents are filed with the commission when they are received in the commission offices during regular business hours. Specific documents may be filed by facsimile transmission or filed when mailed, with the consent of the executive secretary. Documents must be directed to the attention of the executive secretary.~~

Filings must be made in a manner consistent with the filing requirements of Minnesota Statutes, section 216.17, subdivision 3, and must be directed to the attention of the executive secretary. If otherwise required to file electronically under Minnesota Statutes, section 216.17, subdivision 3, persons may file by facsimile transmission, by mail, or by personal delivery only with the prior consent of the executive secretary.

Subp. 2. Number of copies.

~~Parties and participants shall file an original and 15 copies of each document filed with the commission, unless otherwise directed by the executive secretary.~~

Subp. 3. Proof of service.

Filings must be accompanied by proof of service on the persons on the appropriate service list.

Subp. 4. Format.

Filings must identify the nature of the filing as briefly as possible, for example, "Replies to Exceptions to Report of Administrative Law Judge," and indicate that the matter is before the Minnesota Public Utilities Commission. Filings after the original filing must include the title and commission-assigned docket number of the matter. ~~Paper~~ filings must be on 8-1/2 by 11-inch paper, unless the executive secretary authorizes a nonconforming filing for good cause shown. Electronic filings must be submitted in a text-searchable format and any scanned documents must include optical character recognition data. Filings made pursuant to rules 7825.3900, 7810.8685, or 7810.8620, as well as schedules provided pursuant to Minn. Stat. § 216B.16, subd. 17(a) shall also include the required data in an industry standard spreadsheet format supported by the agencies' electronic filing system.

Subp. 5. Service; Method

~~A document filed with the commission must be served the same day on the persons listed on the appropriate service list, except when this chapter permits service of a summary of the filing. Service may be accomplished by first class mail, or by delivery in person, or electronically upon recipients who have agreed to electronic service as provided in Minnesota Statutes, section 216.17, subdivision 4, unless otherwise provided by law or commission order. Service may also be accomplished by facsimile transmission, followed by first class mail.~~

Subp. 5a. Service and Filing; Completion

A document filed with the commission must be served the same day on the persons listed on the appropriate service list, except when this chapter permits service of a summary of the filing. Service on the department is complete upon receipt by the department. For all other persons, Unless the executive secretary directs otherwise for specific documents, electronic service is

complete upon receipt of confirmation of submission of the document, and electronic filing is complete upon receipt of acceptance of submission. Filings not made electronically are filed with the commission when they are received in the commission offices during regular business hours. Service by mail or facsimile transmission plus mail is complete upon mailing, except service upon the department, which is complete upon receipt by the department, unless the executive secretary directs otherwise for specific documents. When a party or participant is represented by an attorney, service upon the attorney is considered service upon the party or participant.

Subp. 6. Proceeding before administrative law judge.

During the time that a matter is before an administrative law judge, service and filing requirements are controlled by the rules of the Office of Administrative Hearings and by any orders issued under those rules by the administrative law judge.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.0410 MOTION FILINGS.

Subpart. 1. Form and content.

A party to a proceeding making a motion filing shall make it in writing, state the grounds for the motion, and set forth the requested commission action. A party shall serve a motion filing on the persons listed on the official service list and file it consistent with the electronic filing requirements of Minnesota Statutes, section 216.17, subdivision 3. A party shall as a part of a motion filing advise other parties that if they wish to oppose the motion they must file and serve on the same list of persons a written response within 14 days. The commission will consider only motion filings signed by a party or the party's attorney or authorized representative.

Subp. 2. Responses.

A party responding to a motion filing shall serve a response on the persons listed on the official service list and file it consistent with the electronic filing requirements of Minnesota Statutes, section 216.17, subdivision 3, within 14 days of service of the motion filing.

Statutory Authority:

MS s [216A.05](#)

7829.0500 TRADE SECRET AND PROPRIETARY INFORMATION PROTECTED DATA.

Subpart 1. Confidentiality protected.

Nothing in this chapter requires the public disclosure of ~~privileged proprietary information, trade secrets, or other privileged information~~ protected data or any disclosure of privileged data.

Subp. 2. Procedure for excision.

~~Persons~~ A person filing documents containing ~~proprietary information, trade secrets, protected data~~ shall file one copy of the document with the protected data redacted. ~~excise this information in all copies but the original and six copies.~~ The first page or cover page of a document from which protected data has been excised must be clearly captioned in bold print "PUBLIC DOCUMENT—NOT PUBLIC (or PRIVILEGED) DATA HAS BEEN EXCISED." The

beginning and end of the excised protected data must be identified. One copy of the document without redactions shall be filed, designated as required in subpart 4, and identified as a **not public or trade secret** document during the electronic submission process.

Subp. 3. Identification of excised material.

When a person classifies an entire document, or a substantial part of a document, as protected ~~information data~~, the person shall file a description of the excised material that includes at least the following information: the nature of the material, its authors, its general import, and the date on which it was prepared.

Subp. 4. Document containing protected ~~information data~~.

The first page or cover page of a document containing protected ~~information data~~ must be clearly marked in bold print "~~TRADE SECRET INFORMATION~~ **NOT PUBLIC DOCUMENT** — NOT FOR PUBLIC DISCLOSURE" or with words of similar import. Every page on which protected ~~information data~~ appears must be similarly marked and the protected ~~information data~~ must be underlined, placed in brackets, or otherwise clearly identified as the ~~information data~~ which is to be protected from disclosure.

Subp. 5. Statement required.

In all cases where a person or entity files data with the commission that is identified as protected data, an accompanying statement justifying the state agencies treating the data as protected data must also be filed. This justification must include an explanation of how the data is classified under the Minnesota Government Data Practices Act, or is privileged under a rule of privilege recognized by law.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.0600 GENERAL SERVICE LIST.

Subpart 1. Establishing list.

Persons desiring to receive notice of particular types of filings and who are qualified to intervene under part [7829.0800](#) shall file with the utility a written list of the types of filings they wish to receive, their name, address, and an electronic address if they agree to electronic service. The utility shall maintain general service lists of persons who have filed these requests. The utility shall add to each list the persons who intervened in its last general rate case and persons on the official service list for its last filing of the same type.

Subp. 2. Annual updating.

The utility may delete from its general service list a person who fails to respond within 30 days to an annual mailing inquiring whether that person wishes to continue receiving the filings requested.

Subp. 3. Periodic addition.

A person may be added to the utility's general service list at any time by filing a request under subpart 1, even if the person failed to respond to an annual mailing as described in subpart 2.

Subp. 4. Jurisdiction unaffected.

The service lists established in this part are intended to provide the earliest possible notice to persons who may be interested in a particular filing. The requirements of this part do not displace

or add to legal notice requirements, and a utility's failure to comply with this part does not deprive the commission of jurisdiction over a matter of which it would otherwise have jurisdiction, require dismissal of a filing, or invalidate any determination made by the commission in the matter.

Subp. 5. Party or participant status unaffected.

Inclusion on a general service list does not confer party or participant status on persons included on the list.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.0700 OFFICIAL SERVICE LIST.

Subpart 1. Content.

The official service list for each proceeding consists of the names, addresses, and electronic addresses of the parties, and the names of the participants who have filed a written request for inclusion on the service list with the executive secretary.

Subp. 2. Establishment and updating.

The commission shall establish the official service list at the conclusion of the initial comment period, or immediately following an initial filing for which no initial comment period is required, and shall mail a copy of the list to the parties and to participants who have filed written requests for inclusion. A list established before commission action on a petition for intervention must include those persons whose intervention petitions are pending. The commission shall mail an updated official service list to the parties and participants if the official service list is later expanded or reduced. The commission need not mail the official service list in proceedings when the only parties are the department and a petitioner, complainant, or respondent. The commission shall provide the official service list electronically rather than by mail to those who have agreed to electronic service as provided in Minnesota Statutes, section 216.17, subdivision 4.

Subp. 3. Limiting service list.

On its own motion or at the request of a party, the commission shall limit the service list to parties to the proceeding if it finds that requiring service on participants is unduly burdensome. Access to officially filed documents shall be available through the ~~commission's~~ agencies' electronic filing system.

Subp. 4. Name and address change.

A party or participant who wishes to change the name or address of a person receiving service on behalf of the party or participant shall provide written notice of the change to the executive secretary and to persons on the official service list. The commission may remove a participant from the official service list after two attempts at service are returned as undeliverable.

Subp. 5. Proceeding before administrative law judge.

In proceedings before an administrative law judge in which the judge establishes a service list, the names on that service list must remain on the official service list for the remainder of the proceeding.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.0800 PETITION TO INTERVENE.**Subpart 1. Filing and service.**

A person who desires to become a party to a proceeding shall file a petition to intervene within the time set in this chapter. The petition must be served on known parties and those persons on the utility's general service list for the matter, if applicable. A petition to intervene must be signed by the person wishing to become a party or the person's attorney or authorized representative.

Subp. 2. Grounds for intervention.

The petition must allege the grounds for intervention and must be granted upon a showing that: the person is specifically considered by statute to be interested in the particular type of matter at issue; the person is specifically declared by statute to be an interested party; or the outcome of the proceeding will bind or affect the person with respect to an interest peculiar to that person, as distinguished from an interest common to the public or other ratepayers in general, or the person's interests are not adequately represented by one or more other parties participating in the case.

Subp. 3. Intervention as of right.

The department and the Office of the Attorney General, ~~through its Residential Utilities Division,~~ may intervene as of right in any proceeding before the commission. They become parties upon filing comments under this chapter or upon written notice to the commission of an intent to intervene, and need not file petitions to intervene, except when the rules of the Office of Administrative Hearings require it.

Subp. 4. Objection to intervention.

An objection to intervention must be filed within ten days of service of the petition to intervene.

Subp. 5. Disposition of petition.

If there is no objection to intervention and a petition to intervene is not denied or suspended within 15 days of filing, the petition to intervene must be considered granted, unless the matter is referred to the Office of Administrative Hearings for contested case proceedings before the expiration of the 15-day period.

Subp. 6. Proceeding before administrative law judge.

During the time that a matter is before an administrative law judge, intervention procedures are governed by the rules of the Office of Administrative Hearings and by orders issued under those rules by the administrative law judge.

Statutory Authority:MS s [216A.05](#)**History:**

19 SR 116

Posted:

August 21, 2007

7829.0900 PARTICIPANT.

A person may file comments in a proceeding before the commission without requesting or obtaining party status. A participant may also be granted an opportunity for oral presentations.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.1000 REFERRAL FOR CONTESTED CASE PROCEEDING.

If a proceeding involves contested material facts and there is a right to a hearing under statute or rule, or if the commission finds that all significant issues have not been resolved to its satisfaction, the commission shall refer the matter to the Office of Administrative Hearings for contested case proceedings, unless:

A. all parties waive their rights to contested case proceedings and instead request informal or expedited proceedings, and the commission finds that informal or expedited proceedings would be in the public interest; or

B. a different procedural treatment is required by statute.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.1050 UNCONTESTED PROCEEDING SUBCOMMITTEE

Subpart 1. Delegation of uncontested proceedings.

As authorized by Minnesota Statutes, section 216A.03, subdivision 8, the commission may by order establish a subcommittee for the purpose of acting on uncontested proceedings. This subcommittee will act on behalf of the commission only when:

a) commission staff determines that a proceeding involves no disputed or novel issues, and

b) no party, participant, or commissioner has requested that the proceeding not be delegated to a subcommittee.

The commission will maintain a list on its website of proceedings delegated under this subpart, and upon disposition will indicate the subcommittee's disposition of each proceeding. Electronic filing of an order reflecting subcommittee disposition constitutes receipt by parties, participants, and commissioners for purposes of Minn. Stat. § 216A.03, subd. 8(b).

Subp. 2. Other subcommittees.

This part is not intended to limit the circumstances under which the commission may delegate functions to a subcommittee.

Statutory Authority:

MS s 216A.03

7829.1100 PUBLIC HEARING.

When a public hearing is held in connection with a contested case proceeding, the commission shall, whenever possible, schedule the public hearing to be held before the evidentiary hearings.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.1200 INFORMAL OR EXPEDITED PROCEEDING.

Subpart 1. When appropriate.

Informal or expedited proceedings may be used when contested case proceedings are not required, for example, when:

A. there are no material facts in dispute;

B. the parties and the commission have agreed to informal or expedited proceedings; or

C. informal or expedited proceedings are authorized or required by statute.

Subp. 2. Presentation of facts.

Written submissions are the preferred method of introducing facts. The commission shall allow oral presentation of facts when that can be done without compromising the rights of any person or the integrity of the proceeding. In informal proceedings, the commission shall require that factual allegations be made under oath or by affirmation when facts appear to be in dispute. In expedited proceedings, the commission shall require that factual allegations be made under oath or by affirmation and that documents filed in the proceeding be verified.

Subp. 3. Notice.

The commission shall notify the persons on the official service list at least ten days before a meeting at which it may act on the basis of informal or expedited proceedings. Under exigent circumstances the executive secretary shall reduce the ten-day notice period.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.1250 COMMENT PROCEDURE VARIATION.

Subpart 1. When applied.

Unless otherwise provided in statute or rule, this part shall apply to all comment periods established in this chapter.

Subp. 2. Additional comments and comments on supplemental or corrected filings.

If further information is required to make a fully informed decision, the commission shall require additional comments and identify specific issues requiring further development. The commission shall provide opportunity for parties to respond to additional comments, or to a supplemental or corrected filing when an additional comment, supplement, or correction raises a new issue.

Statutory Authority:

MS s [216A.05](#)

7829. TIME PERIODS VARIED (Relocated from 7829.3100)

Except for time periods set by statute, the commission may vary the time periods established by this chapter on its own motion or at the request of a person for good cause shown. The commission may delegate the authority to vary time periods to the executive secretary.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.1300 MISCELLANEOUS TARIFF AND PRICE LIST FILINGS.

Subpart 1. Summary.

A miscellaneous ~~tariff filing and price list filing~~ must include, on a separate page, a one-paragraph summary of the filing, sufficient to apprise potentially interested parties of its nature and general content.

Subp. 2. Service.

The filing utility shall serve copies of each miscellaneous ~~tariff filing~~ on which commission action is required within 60 days of filing, and each ~~price list filing increasing the price of a competitive service~~, on the persons on the applicable general service list, on the department, and on the ~~Residential Utilities Division of the Office of the Attorney General~~. For other filings, the utility may serve the summary described in subpart 1 on persons on the applicable general service list. The utility shall serve with the filing or the summary a copy of its general service list for the filing.

Subp. 3. Content of filing ~~subject to specific requirements.~~

In addition to complying with specific requirements imposed by statute or rule, miscellaneous ~~tariff and price filings~~ subject to specific filing rules must contain at least the following information:

- A. the name, address, and telephone number of the filing party utility, without abbreviation;
- B. the name, address, electronic address, and telephone number of the attorney for the filing party utility, if the filing party utility is using represented by an attorney;
- C. the date of the filing and the date the proposed rate or service change, if any, will go into effect;
- D. the statute that the utility believes controls the time frame for processing the filing; ~~and~~
- E. the signature, electronic address, and title of the utility employee responsible for the filing; ~~and-~~
- F. if the contents of the filing are not established by statute or another Commission rule, a description of the filing, its impact on rates and services, its impact on the utility and affected persons ratepayers, and the reasons for the filing.

Subp. 4. Content of filing ~~not subject to specific filing rules.~~

In addition to complying with ~~any specific requirements imposed by statute~~, miscellaneous ~~tariff and price list filings not subject to specific filing rules~~ must contain at least the following information:

- ~~A. the name, address, and telephone number of the utility, without abbreviation;~~
- ~~B. the name, address, electronic address, and telephone number of the attorney for the utility, if the utility is using an attorney;~~
- ~~C. the date of the filing and the date the proposed rate or service change will go into effect;~~
- ~~D. the statute that the utility believes controls the time frame for processing the filing;~~
- ~~E. the signature, electronic address and title of the utility employee responsible for the filing; and~~
- ~~F. if the contents of the filing are not established by another Commission rule, a description of the filing, its impact on rates and services, its impact on the utility and affected ratepayers, and the reasons for the filing.~~

Subp. 5. Rejection of filing.

The commission shall reject a filing found to be substantially out of compliance with this chapter or applicable statutory requirements.

Subp. 6. Compliance filings.

Unless otherwise ordered by the commission, utilities shall file a compliance filing within ten days of a commission order requiring it.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.1400 COMMISSION ACTION ON MISCELLANEOUS TARIFF, PRICE LIST FILING; COMMENTS.

Subpart 1. Initial comments.

In the absence of a commission order or notice establishing a different comment period, a person wishing to comment on a miscellaneous ~~tariff or price list~~ filing shall do so within 30 days of its filing with the commission. ~~A person wishing to comment on one of the following noncompetitive rate change filings shall do so within 20 days of its filing with the commission: a rate reduction filing, a cost increase filing, or a request for a significant change in a condition of telephone service.~~ A person wishing to comment on a new telephone service, competitive or noncompetitive, shall do so within ten days of its filing with the commission. Comments must be served on the persons on the utility's general service list for the filing, as well as on the filing utility.

Subp. 2. Petition to intervene.

If a person who files initial or reply comments is not entitled to intervene in commission proceedings as of right and desires full party status, the person shall file a petition to intervene before the initial or reply comment period expires. The intervention petition may be combined with the comments on the filing.

Subp. 3. Comments to include procedural recommendation.

A person commenting on a miscellaneous ~~tariff or price list~~ filing and recommending its rejection, denial, or modification shall specify whether the person believes the filing requires a contested case proceeding, informal proceeding, expedited proceeding, or some other procedural treatment, together with the person's reasons for recommending a particular procedural treatment.

Subp. 4. Reply comments.

~~Unless directed otherwise by the commission, the~~ The utility and other persons have ten days from the expiration of the original comment period to file reply comments. Reply comments must be served on the utility and persons who have filed comments on the miscellaneous ~~tariff~~ filing. Reply comments must be limited in scope to the issues raised in the initial comments.

Subp. 5. Additional comments.

~~If further information is required to make a fully informed decision, the commission shall require additional comments and identify specific issues requiring further development.~~

Subp. 6~~5~~. Comments on supplemental or corrected filings.

~~The commission shall provide opportunity for other parties to respond to supplemental or corrected filings when those filings raise new issues.~~

Subp. 7~~6~~. Comment periods varied.

~~Except for comment periods set by statute, the commission may vary the comment periods set by this chapter on its own motion or at the request of a person for good cause shown. The commission may delegate the authority to vary time periods to the executive secretary.~~

Subp. 8. Comment periods extended at department's request.

At the request of the department, the commission shall extend the comment periods in parts [7829.1300](#) and [7829.1400](#) up to an additional 30 days, except for comment periods established by statute and except when the commission must act within 60 days to prevent proposed rate changes from going into effect.

Subp. 9. Requests for contested case proceedings.

Upon receipt of initial comments requesting a contested case proceeding on a miscellaneous ~~tariff filing or price list filing~~, the commission shall immediately set the matter for consideration on a date after the time period for reply comments has run. If the commission finds a contested case proceeding is required, the commission shall refer the matter to the Office of Administrative Hearings pursuant to part [7829.1000](#), and the utility shall file its direct testimony in question and answer form within 20 days of the commission's notice and order for hearing.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.1500 INFORMAL COMPLAINT.

Persons engaged in disputes with utilities may submit informal complaints by letter or other writing, by telephone, electronically, or in person. Commission staff shall accept these complaints and shall prepare a memorandum setting forth the substance of each complaint and identifying the customer, the service address, and the utility.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.1600 TREATMENT OF INFORMAL COMPLAINT.

Commission staff shall try to help resolve informal complaints by correspondence, mediation, arbitration, and other informal means. If the complainant desires formal action by the commission, a formal complaint must be initiated by the commission, or filed by a qualified complainant.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.1700 FORMAL COMPLAINT.

Subpart 1. Content.

A formal complaint must include the following information: the name and address of the complainant; the name and address of complainant's counsel, if any; the name and address of respondent; the name and address of respondent's counsel, if known; the statute, rule, tariff, or commission order alleged to have been violated; the facts constituting the alleged violation; and the relief sought by complainant.

Subp. 2. Mailing and filing.

A formal complaint must be mailed to the respondent, the department, and ~~the Residential Utilities Division~~ of the Office of the Attorney General, as well as filed with the commission. Formal complaints may also be filed in a manner consistent with the electronic filing requirements of Minn. Stat. § 216.17, subd. 3. If filed electronically in this manner, a formal complaint does not need to be mailed to the state agencies.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.1800 INITIAL CONSIDERATION OF FORMAL COMPLAINT.

Subpart 1. Initial commission review.

The commission shall review a formal complaint as soon as practicable to determine whether the commission has jurisdiction over the matter and to determine whether there are reasonable grounds to investigate the allegation. On concluding that it lacks jurisdiction or that there is no reasonable basis to investigate the matter, the commission shall dismiss the complaint.

Subp. 2. Answer.

On concluding that it has jurisdiction over the matter and that investigation is warranted, the commission shall serve the complaint on the respondent, together with an order requiring the respondent to file an answer either stating that it has granted the relief the complainant requests, or responding to the allegations of the complaint. The answer must be filed with the commission and served on the complainant, the department, and ~~the Residential Utilities Division~~ of the Office of the Attorney General within 20 days of service of the complaint and order.

Subp. 3. Reply.

Replies are not required unless the answer alleges that respondent has granted the relief sought by complainant. In that case, the complainant shall file a reply within 20 days admitting or denying that relief has been granted. If the complainant fails to file the reply, the commission shall dismiss the complaint. Copies of the reply must be served on respondents, the department, and ~~the Residential Utilities Division of the Office of the Attorney General.~~

Subp. 4. Failure to answer.

If the respondent fails to answer a complaint served by the commission under subpart 2, the commission shall consider the allegations of the complaint denied.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.1900 COMMISSION ACTION ON FORMAL COMPLAINT; COMMENTS.**Subpart 1. Nature of proceedings.**

The commission shall deal with a formal complaint through a contested case proceeding, informal proceeding, or expedited proceeding.

Subp. 2. Initial comments.

A person wishing to comment on a formal complaint shall do so within 30 days of the date of a commission order requiring an answer to the complaint. Comments must be served on the complainant, respondent, department, ~~Residential Utilities Division of the Office of the Attorney General,~~ and any other known parties.

Subp. 3. Reply comments.

A commenting party has ten days from the expiration of the original comment period to file reply comments. Reply comments must be limited in scope to the issues raised in the initial comments and must be served on the complainant, respondent, department, ~~Residential Utilities Division Of the Office of the Attorney General,~~ and any other known parties.

Subp. 4. Petition to intervene.

If a person who files initial or reply comments is not entitled to intervene in commission proceedings as of right and desires full party status, the person shall file a petition to intervene before the initial or reply comment period expires. The intervention petition may be combined with the comments on the complaint.

Subp. 5. Comments to include procedural recommendation.

A person commenting on a complaint shall specify whether the person believes the matter requires a contested case proceeding, informal proceeding, expedited proceeding, or some other procedural treatment, together with the reasons for recommending a particular procedural treatment.

Subp. 6. Additional comments.

~~If further information is required to make a fully informed decision, the commission shall require additional comments and identify specific issues requiring further development.~~

~~Subp. 76. Comments on supplemental or corrected filings.~~

~~The commission shall provide opportunity for other parties to respond to supplemental or corrected filings when those filings raise new issues.~~

Subp. 87. Comment periods varied.

~~Except for time periods set by statute, the commission may vary the comment periods established in this part at the request of a person for good cause shown. The commission may delegate the authority to vary time periods to the executive secretary.~~

Subp. 98. Comment periods extended at department's request.

At the request of the department, the commission shall extend the comment periods established in this part up to an additional 30 days, except for comment periods set by statute.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.2000 ELECTRIC SERVICE AREA COMPLAINT.

Subpart 1. Content.

A complaint alleging violation of an electric utility's assigned service area must include a map that the complainant reasonably believes to be a copy of the official service area map of an area at issue, with the area of the alleged violation clearly marked.

Subp. 2. Service and filing.

A service area complaint must be served on the respondent, department, and ~~Residential Utilities Division of the Office of the Attorney General~~, as well as filed with the commission.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.2100 COMMISSION ACTION ON SERVICE AREA COMPLAINT; COMMENTS.

Subpart 1. Answer.

Within ten days of service of a service area complaint, a respondent shall file an answer with the commission and serve it on the complainant, department, and ~~Residential Utilities Division of the Office of the Attorney General~~.

Subp. 2. Initial comments.

A person wishing to comment on a service area complaint shall do so within ten days of the date the person was served. Comments must be served on the complainant, respondent, department, ~~Residential Utilities Division of the Office of the Attorney General~~, and any other known parties.

Subp. 3. Petition to intervene.

If a person who files comments is not entitled to intervene in a commission proceeding as of right and desires full party status, the person shall file a petition to intervene before the initial comment period expires. The intervention petition may be combined with the comments on the complaint and must be served on those persons entitled to service of the comments.

Subp. 4. Additional comments.

~~If further information is required to make a fully informed decision, the commission shall require additional comments and identify specific issues requiring further development.~~

Subp. 54. Comments on supplemental or corrected filing.

The commission shall provide opportunity for other parties to respond to supplemental or corrected filings when those filings raise new issues.

Subp. 65. Time for disposition.

Service area complaints must come before the commission within 15 days of filing. The commission shall issue its order within 30 days after the hearing.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.2200

[Repealed, [26 SR 1438](#)]

Posted:

August 21, 2007

7829.2300 CLASSIFICATION PETITION.

Subpart 1. Summary.

A telephone utility filing a classification petition under Minnesota Statutes, section [237.59](#), shall include on a separate page a brief summary of the filing, sufficient to apprise potentially interested parties of its nature and general content.

Subp. 2. Service.

A utility filing a classification petition shall serve copies of the petition on the department and ~~Residential Utilities Division of the Office of the Attorney General~~. The utility shall serve the petition or the summary described in subpart 1 on those persons on the applicable general service list and on those persons who were parties to its last general rate case or incentive plan proceeding, if applicable.

Subp. 3. Challenges to form and completeness.

A person wishing to challenge the form or completeness of a classification petition shall do so within ten days of its filing. The filing utility shall reply to the challenge within five days of its filing. Challenges and responses must be served on the department, ~~Residential Utilities Division of the Office of the Attorney General~~, persons on the general service list for the filing, and any other known parties.

Subp. 4. Rejection of filings.

The commission shall reject a classification petition found to be substantially out of compliance with Minnesota Statutes, section [237.59](#), or with any other filing requirement imposed by rule or statute. A filing under this section not rejected within 45 days of filing is considered accepted as in substantial compliance with applicable filing requirements.

Subp. 5. Initial comments.

A person wishing to comment on a classification petition shall file initial comments within 20 days of the filing. Initial comments must include a recommendation on whether the filing requires a contested case proceeding, expedited proceeding, or some other procedural treatment, together with reasons for recommending a particular procedural treatment. Initial comments must

be served on the utility, department, ~~Residential Utilities Division of the~~ Office of the Attorney General, persons on the general service list for the filing, and any other known parties.

Subp. 6. Petition to intervene.

If a person who files initial comments is not entitled to intervene in a commission proceeding as of right and desires full party status, the person shall file a petition to intervene before the reply comment period expires. The intervention petition may be combined with comments on the filing and must be served on those persons entitled to receive service during the comment period when the intervention petition is filed.

Subp. 7. Reply comments.

Commenting parties have ten days from the expiration of the original comment period to file reply comments. Reply comments must be limited in scope to the issues raised in the initial comments. Reply comments must be served on the utility and on those persons who have filed initial comments.

~~Subp. 8. Additional comments.~~

~~If further information is required to make a fully informed decision, the commission shall require additional comments and identify specific issues requiring further development.~~

~~Subp. 9. Comments on supplemental or corrected filing.~~

~~The commission shall provide opportunity for other parties to respond to a supplemental or corrected filing when the filing raises new issues.~~

Subp. 10. Nature of proceeding.

The commission shall deal with a classification petition by conducting an expedited proceeding under Minnesota Statutes, section [237.61](#), or by referring the matter for a contested case proceeding.

Subp. 11. Time frame for disposition; expedited proceeding.

When the filing utility requests an expedited proceeding on its classification petition, the commission shall take final action within 60 days of the date on which the utility provides the substantive information required by statute, unless the commission finds at least one issue of material fact in dispute and refers the matter for a contested case proceeding within the same 60-day period.

Subp. 12. Time frame for disposition; contested case proceeding.

When the commission conducts a contested case proceeding, it shall take final action within eight months of the utility's request for a contested case proceeding or the commission's order that contested case proceedings be held, whichever occurs earlier.

Subp. 13. Extending disposition period.

The commission may extend the eight-month time frame ~~set forth~~ in subpart 12 with the agreement of all parties or upon a finding that the case cannot be completed within the required time and that there is a substantial probability that the public interest would be harmed by enforcing the eight-month time frame.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.2400 FILING REQUIRING DETERMINATION OF GROSS REVENUE.

Subpart 1. Summary.

A utility filing a general rate case or other filing that requires determination of its gross revenue requirement shall include, on a separate page, a brief summary of the filing, sufficient to apprise potentially interested parties of its nature and general content.

Subp. 2. Service.

A utility filing a general rate change request shall serve copies of the filing on the department and ~~Residential Utilities Division of the~~ Office of the Attorney General. The utility shall serve the filing or the summary described in subpart 1 on the persons on the applicable general service list and persons who were parties to its last general rate case or incentive plan proceeding.

Subp. 3. Notice to public and governing bodies.

A utility seeking a general rate change shall give notice of the proposed change to the governing body of each municipality and county in its service area and to its ratepayers. The utility shall also publish notice of the proposed change in newspapers of general circulation in all county seats in its service area.

Subp. 4. Challenge to form and completeness.

A party wishing to challenge the form or completeness of a general rate case filing shall do so within ten days of its filing. The filing utility shall reply to the challenge within five days of its filing. Challenges and responses must be served on the department, ~~Residential Utilities Division of the~~ Office of the Attorney General, persons on the general service list for the filing, and any other known parties.

Subp. 5. Rejection of filing.

The commission shall reject any filing under this part that is found to be substantially out of compliance with Minnesota Statutes, section [216B.16](#) or [237.075](#), or other requirement imposed by rule, statute, or previous commission order. A filing under this part not rejected within 60 days of filing is considered accepted as in substantial compliance with applicable filing requirements.

Subp. 6. Petition to intervene.

The commission shall entertain a petition to intervene until the matter is referred to the Office of Administrative Hearings for a contested case proceeding or until the commission issues a notice under part [7829.1200](#), subpart 3, stating its intention to decide the matter on the basis of an informal or expedited proceeding. ~~Once a filing is referred for a contested case proceeding, the rules of the Office of Administrative Hearings control intervention rights.~~

Subp. 7. Notice of hearing.

The utility shall notify its ratepayers of hearings held in connection with its rate change request in the manner directed by the commission. The utility shall publish notice of hearings on its rate change request in newspapers of general circulation in all county seats in its service area, as directed by the commission.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.2500 CERTIFICATE OF NEED FILING.

Subpart 1. Compliance.

Certificate of need applications must comply with the requirements of Minnesota Statutes, sections [216B.2421](#) and [216B.243](#); Minnesota Rules, chapters 7851, 7853, and 7855, and parts [7849.0010](#) to [7849.0400](#); and any other requirements imposed by rule or statute.

Subp. 2. Summary.

A person filing a certificate of need application shall include, on a separate page, a brief summary of the filing, sufficient to apprise potentially interested parties of its nature and general content.

Subp. 3. Service.

A certificate of need applicant shall serve copies of the filing on the department and ~~Residential Utilities Division of the~~ Office of the Attorney General. The applicant shall serve the filing or the summary described in subpart 2 on those persons on an applicable general service list and on those persons who were parties to its last general rate case or incentive plan proceeding, if applicable.

~~**Subp. 4. Publication in State Register.**~~

~~The commission shall publish notice of the certificate of need filing in the State Register and shall solicit public comment on the application.~~

Subp. 5. Publication in newspapers.

The applicant shall publish notice of the filing in newspapers of general circulation throughout the state.

Subp. 6. Solicitation of comments on filing compliance.

The commission shall request comments on the filing's compliance with Minnesota Statutes, sections [216B.2421](#) to [216B.243](#), and Minnesota Rules, chapters 7851, 7853, and 7855, and parts [7849.0010](#) to [7849.0400](#), when it determines that comments would be helpful in evaluating the filing's substantial compliance with the requirements of those statutes and rules. The commission may delegate the authority to request these comments to the executive secretary.

Subp. 7. Rejection of filing.

The commission shall reject a filing under this part that is found to be substantially out of compliance with Minnesota Statutes, sections [216B.2421](#) to [216B.243](#); Minnesota Rules, chapters 7851, 7853, and 7855, and parts [7849.0010](#) to [7849.0400](#); and any other requirements imposed by rule or statute. A filing under this section not rejected within 15 days of filing must be considered accepted as in substantial compliance with applicable filing requirements.

Subp. 8. Petition to intervene.

The commission shall entertain a petition to intervene until the matter is referred to the Office of Administrative Hearings for a contested case proceeding or until the commission issues a notice under part [7829.1200](#), subpart 3, stating its intention to decide the matter on the basis of an informal or expedited proceeding. **Once a filing is referred for a contested case proceeding, the rules of the Office of Administrative Hearings shall control intervention rights.**

Subp. 9. Public hearing.

If the commission decides to act on the application through an informal proceeding, the commission shall hold a public hearing designed to encourage members of the public to express their views on the application, as required under Minnesota Statutes, section [216B.243](#), subdivision 4. If the commission refers the application to the Office of Administrative Hearings for a contested case proceeding, the commission shall ensure that at least one public hearing is held.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.2550 NOTICE PLANS WHEN SEEKING CERTIFICATION OF HIGH-VOLTAGE TRANSMISSION LINES.

[This part is subject to the rulemaking proceeding in Commission Docket No. 12-1246, and not within the scope of this rulemaking proceeding.]

7829.2560 NOTICE PLANS WHEN SEEKING CERTIFICATION OF PIPELINES.

Subpart 1. Filings required, service requirements.

At least three months before filing a certificate of need application for any pipeline under chapter 7851, 7853, or 7855, the applicant shall file a proposed plan for providing notice to all persons reasonably likely to be affected by the proposed pipeline. Applicants shall serve their proposed plans on the following persons:

A. the Office of Energy Security of the Department of Commerce;

B. ~~the Residential and Small Business Utilities Division of the Office of the Attorney General;~~
and

C. the Army Corps of Engineers.

Subp. 2. Procedural schedule, notice of procedural schedule.

Initial comments on proposed notice plans must be filed within 20 days of the date of filing.

Reply comments must be filed within 20 days of the expiration of the initial comment period.

Applicants shall include with the proposed notice plan a clear and conspicuous notice of these comment periods.

Subp. 3. Types of notice.

Proposed notice plans must include notice to the following persons by the method specified:

A. direct mail notice, based on county tax assessment rolls, to landowners reasonably likely to be affected by the proposed pipeline;

B. direct mail notice to all mailing addresses within the area reasonably likely to be affected by the proposed pipeline;

C. direct mail notice to tribal governments and to the governments of towns, statutory cities, home rule charter cities, and counties whose jurisdictions are reasonably likely to be affected by the proposed pipeline; and

D. newspaper notice to members of the public in areas reasonably likely to be affected by the proposed pipeline.

Subp. 4. Notice content.

Proposed notice plans must provide notice recipients with the following information:

A. a map showing the end points of the pipeline and existing related pipelines and related facilities in the area;

B. a description of general right-of-way requirements for a pipeline of the size and type proposed and a statement that the applicant intends to acquire property rights for the right-of-way that the proposed pipeline will require;

- C. a notice that the pipeline cannot be constructed unless the commission certifies that it is needed;
- D. the commission's mailing address, telephone number, and Web site;
- E. a brief explanation of how to get on the mailing list for the commission's proceeding; and
- F. a statement that requests for certification of pipelines are governed by Minnesota law, including specifically chapters 7851, 7853, and 7855 and Minnesota Statutes, section [216B.243](#).

Subp. 5. Supplementary notice.

The commission shall require supplementary notice to persons reasonably likely to be affected by route alternatives developed in the course of certification proceedings if it appears that those route alternatives are as likely to be certified as the proposed pipeline.

Subp. 6. Notice time frames.

The applicant shall implement the proposed notice plan within 30 days of its approval by the commission.

Subp. 7. Good faith sufficient.

The commission shall not deny a request for certification of a pipeline on grounds of defective notice if the applicant acted in good faith, in substantial compliance with the notice requirements of this part, and in substantial compliance with any commission orders issued under this part.

Subp. 8. Compliance filing.

The applicant shall submit a compliance filing within 60 days from the date of implementation of the approved notice plan. The filing must include a copy of the notice and a service list that includes the names and addresses of those persons to whom the notice was sent.

Statutory Authority:

MS s [216A.05](#); [216A.08](#)

History:

[35 SR 1530](#)

Posted:

April 13, 2011

7829.2600 STAFF COMMENTS.

Written comments on a filing by commission staff must be made available to those persons on the service list at the same time they are provided to the commission. If commission staff recommend action not advocated by any party, at the request of any party all parties must be granted ~~or a~~ an opportunity to comment, ~~at the request of any party~~.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.2700 PROCEDURE AFTER ADMINISTRATIVE LAW JUDGE REPORT.

Subpart 1. Exceptions to administrative law judge's report.

Except in cases subject to statutory deadlines, parties shall file and serve on the other parties any exceptions to an administrative law judge's report within 20 days of its filing unless otherwise specified by the commission. In cases subject to statutory deadlines, exceptions must be filed and served within 15 days of the filing of the report.

Subp. 2. Replies to exceptions.

Except in cases subject to statutory deadlines, a party shall file and serve on all other parties any replies to exceptions within ten days of the due date for exceptions. In cases subject to statutory deadlines, replies are not permitted.

Subp. 3. Oral Argument.

Parties must be granted an opportunity for oral argument before the commission as required under Minnesota Statutes, section [14.61](#).

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.2800 GENERAL NOTICE REQUIREMENT.

Matters may come before the commission only on ten days notice to the parties and those persons on the official service list. Under exigent circumstances the executive secretary shall reduce the ten-day notice period.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.2900 DECISION AND ORDER.

The executive secretary shall serve a decision and order of the commission on all parties and participants in the proceeding who are on the official service list.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829.3000 PETITION AFTER COMMISSION DECISION.

Subpart 1. Time for request.

A party or a person aggrieved and directly affected by a commission decision or order may file a petition for rehearing, amendment, vacation, reconsideration, or reargument within 20 days of the date the decision or order is served by the executive secretary. This subpart does not affect any statutory limit on the time allowed for a petition for judicial review that may run concurrently.

Subp. 2. Content of request.

A petition for rehearing, amendment, vacation, reconsideration, or reargument must set forth specifically the grounds relied upon or errors claimed. A request for amendment must set forth the specific amendments desired and the reasons for the amendments.

Subp. 3. Service.

A petition for rehearing, amendment, vacation, reconsideration, or reargument, and an answer, reply, or comment, must be served on the parties and participants in the proceeding to which they relate.

Subp. 4. Answers.

Other parties to the proceeding shall file answers to a petition for rehearing, amendment, vacation, reconsideration, or reargument within ten days of service of the petition.

Subp. 5. Replies.

Replies are not permitted unless specifically authorized by the commission.

Subp. 6. Commission action.

The commission shall decide a petition for rehearing, amendment, vacation, reconsideration, or reargument with or without a hearing or oral argument. The commission may vacate or stay the order, or part of the order, that is the subject of the petition, pending action on the petition.

Subp. 7. Second petition not entertained.

A second petition for rehearing, amendment, vacation, reconsideration, or reargument of a commission decision or order by the same party or parties and upon the same grounds as a former petition that has been considered and denied, will not be entertained.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

~~7829.3100 TIME PERIODS (Relocated, above)~~

~~Except for time periods set by statute, the commission may vary the time periods established by this chapter on its own motion or at the request of a person for good cause shown. The commission may delegate the authority to vary time periods to the executive secretary.~~

~~**Statutory Authority:**~~

~~MS s [216A.05](#)~~

~~**History:**~~

~~19 SR 116~~

~~**Posted:**~~

~~August 21, 2007~~

7829.3150 UNTIMELY FILINGS.

Subpart 1. When filings may be excluded.

On its own motion or at the request of any party or participant, the commission may exclude a filing from the record:

- a) when the filing was not made within a time period established by rule, notice, or commission order; and
- b) upon a commission determination that the value of the document to the commission's deliberative process is outweighed by prejudice to a party, participant, or the public interest caused by the untimeliness.

Documents in the commission's agencies' electronic filing system excluded under this part shall remain in the commission's agencies' electronic filing system, but shall be marked as "excluded from record by commission order" in search results.

Subp. 2. Required statement.

A person filing a document not within a time period established by rule, notice, order, or statute shall clearly mark the document as "late filed" and include a statement explaining why the filing was untimely and why it should not be excluded by the commission.

Subp. 3. Documents offered less than one day before consideration.

A party or participant offering a document less than one full business day prior to, or at, the commission meeting to consider issues relevant to the document, must provide ten paper copies to commission staff and sufficient paper copies for the offering party to distribute to all parties and to be available to members of the public in attendance. The offering party or participant must electronically file the document within one business day following the commission meeting if it was not electronically filed prior to the meeting.

Statutory Authority:

MS s [216A.05](#)

7829.3200 OTHER VARIANCES.

Subpart 1. When granted.

The commission shall grant a variance to its rules when it determines that the following requirements are met:

- A. enforcement of the rule would impose an excessive burden upon the applicant or others affected by the rule;
- B. granting the variance would not adversely affect the public interest; and
- C. granting the variance would not conflict with standards imposed by law.

Subp. 2. Conditions.

A variance may be granted contingent upon compliance with conditions imposed by the commission.

Subp. 3. Duration.

Unless the commission orders otherwise, variances automatically expire in one year. They may be revoked sooner due to changes in circumstances or due to failure to comply with requirements imposed as a condition of receiving a variance.

Statutory Authority:

MS s [216A.05](#)

History:

19 SR 116

Posted:

August 21, 2007

7829._____ WITHDRAWAL OF FILINGS

Subpart 1. Uncontested Withdrawal.

The commission delegates to the Executive Secretary authority to approve the withdrawal of a filing if the following conditions are met:

1. The party that submitted the filing has requested that the filing be withdrawn;
2. No person has expressed opposition to withdrawal of the filing; and

3. No commissioner or commission staffperson has identified a reason that the matter should not be withdrawn.

Subp. 2. Contested Withdrawal.

If any person opposes a withdrawal request, the commission will allow a filing to be withdrawn at the request of the filing party if the commission determines that the proposed withdrawal:

1. does not contravene the public interest;
2. does not prejudice any party; and
3. does not concern a filing that raises issues requiring commission action.

If the commission determines that withdrawal would contravene the public interest or would prejudice a party, the commission may permit withdrawal only subject to conditions that mitigate the harm identified.

Statutory Authority:

MS s [216A.05](#)

7829. _____ WITHDRAWAL OF A PARTY

A party wishing to withdraw must file a motion which the commission will consider promptly in the course of the proceeding.

Statutory Authority:

MS s [216A.05](#)

7829. _____ EMERGENCY CIRCUMSTANCES

Subpart 1. Declared Emergency or Pandemic.

If the Executive Secretary determines that an in-person meeting of the commission is not practical or prudent because of a health pandemic or an emergency declared under Minnesota Statutes Chapter 12, commissioners may participate by telephone or other electronic means. If at least one commissioner intends to participate remotely, the commission shall provide the public notice required by Minn. Stat. § 13D.021, subd. 4.

Subp. 2. Remote Participation.

If the required public notice has been given, the commission shall afford any absent commissioner or commissioners an opportunity to participate in a commission meeting by telephone or other electronic means in a manner consistent with Minn. Stat. § 13D.021. The commission shall ensure that all commissioners, regardless of their location, can hear all discussion, testimony, and votes. Unless the meeting is closed for reasons authorized by statute, the commission shall ensure that members of the public who are present at the regular meeting location or monitoring remotely can hear all discussion, testimony, and votes. Commission votes shall be conducted via roll call.

Statutory Authority:

MS s [216A.05](#)