

June 28, 2019

Daniel P. Wolf
Executive Secretary
Minnesota Public Utilities Commission
121 7th Place East, Suite 350
Saint Paul, Minnesota 55101-2147

RE: **Comments of the Minnesota Department of Commerce, Division of Energy Resources**
Docket No. G008/M-19-298

Dear Mr. Wolf:

Attached are the Comments of the Minnesota Department of Commerce, Division of Energy Resources (Department) in the following matter:

CenterPoint Energy's 2018 Conservation Improvement Program Status Report, 2018 Demand Side Management Financial Incentive, Conservation Improvement Program Tracker Report, and 2018 Conservation Cost Recovery Adjustment Aggregated Compliance Filing (*Petition*).

The *Petition* was filed on May 1, 2019 by:

Ethan S. Warner
Regulatory Analyst, Conservation Improvement Program
CenterPoint Energy
505 Nicollet Mall, PO Box 59038
Minneapolis, Minnesota 55402

The Department recommends that the Minnesota Public Utilities Commission (Commission) **approve CenterPoint's *Petition***. The Department is available to answer any questions that the Commission may have.

Sincerely,

/s/ CHRISTOPHER T. DAVIS
Analyst Coordinator

CTD/ar
Attachment



Before the Minnesota Public Utilities Commission

Comments of the Minnesota Department of Commerce
Division of Energy Resources

Docket No. G008/M-19-298

I. SUMMARY OF THE UTILITY'S FILING

On May 1, 2019, CenterPoint Energy, a Division of CenterPoint Energy Resources Corporation (CenterPoint, CPE, or the Company), submitted a filing in the present docket entitled *CenterPoint Energy's 2018 Conservation Improvement Program Status Report, 2018 Demand-Side Management Financial Incentive, Conservation Improvement Program Tracker Report and 2018 Conservation Cost Recovery Adjustment Aggregated Compliance Filing (Petition)* to the Minnesota Public Utilities Commission (Commission).

The Company's *Petition* included:

- a proposed 2018 Shared Savings Demand Side Management (DSM) financial incentive of \$11,317,175;
- a report of proposed recoveries and expenditures in the Company's Conservation Improvement Program (CIP) tracker account during 2018 resulting in a tracker balance of \$6,096,809; and
- an increase in its Conservation Cost Recovery Adjustment (CCRA) to \$0.00567 per therm (\$0.0567 per Dth); and
- proposed bill language to alert customers of the change to the CCRA.

In addition, Section I of the *Petition* contained the Company's 2018 *CIP Status Report*. Since the *Status Report* does not require Commission approval, that portion of the *Petition* has been assigned to a separate docket.¹

The Minnesota Department of Commerce, Division of Energy Resources (Department) provides its analysis and recommendations below.

¹ See Docket No. G008/CIP-16-119.04.

II. COMMISSION'S 2018 ORDER

On September 5, 2018 the Commission issued its disposition in Docket No. G008/M-18-120 as follows:

1. Approved CenterPoint's proposed 2017 DSM financial incentive of \$12,456,038 to be included in the Company's CIP tracker account no sooner than the issue date of this Order.
2. Approved CenterPoint's 2017 CIP tracker account, as summarized in Table 1 of the Department's comments, resulting in a December 31, 2017 tracker balance of \$3,899,087.
3. Approved a 2018/2019 CCRA of \$0.00441 per therm, effective September 1, 2018.
4. Approved the Company's proposed bill message concerning its CCRA.

III. DEPARTMENT ANALYSIS

The Department presents its analysis of CPE's *Petition* below in the following sections:

- in Section III.A, CenterPoint's proposed 2018 DSM financial incentive;
- in Section III.B, CenterPoint's proposed 2018 CIP tracker account;
- in Section III.C, CenterPoint's proposed 2019/2020 CCRA;
- in Section III.D, CenterPoint's proposed bill language; and
- a review of CenterPoint's CIP activities for the period 2007 through 2018.

A. CPE'S PROPOSED 2018 DSM FINANCIAL INCENTIVE

1. Background and Summary of CPE's Proposed 2018 DSM Financial Incentive

The Shared Savings DSM financial incentive plan was approved by the Commission in Docket No. E,G999/CI-08-133 on January 27, 2010. The Commission approved a modified Shared Savings DSM financial incentive mechanism in its August 5, 2016, *Order Adopting Modifications to Shared Savings Demand-Side Management Financial Incentive Plan*, Docket No. E,G999/CI-08-133. The new mechanism, which began January 1, 2017, is triggered when electric utilities achieve energy savings of 1 percent, and gas utilities achieve 0.7 percent, of the utility's most recent three-year average of weather-normalized retail sales.² For 2018, the electric and gas incentives are capped at 12 percent of net benefits and 35 percent of Conservation Improvement Program (CIP) expenditures. The Commission's Order included the following:

² Excluding retail sales to customers who are owners of a large customer facility and have been granted an exemption by the Department's Commissioner under Minnesota Statutes 216B.241, Subd. 1a(b).

- A. For electric utilities, the plan is modified to do the following:
- 1) Authorize financial incentives for a utility that achieves energy savings of at least 1.0 percent of the utility's retail sales.
 - 2) For a utility that achieves energy savings equal to 1.0 percent of retail sales, award the utility a share of the net benefits as set forth in Attachment A.
 - 3) For each additional 0.1 percent of energy savings the utility achieves, increase the net benefits awarded to the utility by an additional 0.75 percent until the utility achieves savings of 1.7 percent of retail sales.
 - 4) For savings levels of 1.7 percent and higher, award the utility a share of the net benefits equal to the Net Benefits Cap.
- B. For gas utilities, the plan is modified to do the following:
- 1) Authorize financial incentives for a utility that achieves energy savings of at least 0.7 percent of the utility's retail sales.
 - 2) For a utility that achieves energy savings equal to 0.7 percent of retail sales, award the utility a share of the net benefits as set forth in Attachment A.
 - 3) For each additional 0.1 percent of energy savings the utility achieves, increase the net benefits awarded to the utility by an additional 0.75 percent until the utility achieves savings of 1.2 percent of retail sales.
 - 4) For savings levels of 1.2 percent and higher, award the utility a share of the net benefits equal to the Net Benefits Cap.
- C. For all utilities, set the following Net Benefit Caps:
- 1) 13.5 percent in 2017,
 - 2) 12.0 percent in 2018, and
 - 3) 10.0 percent in 2019.
- D. For all utilities, set the following Conservation Improvement Plan (CIP) Expenditure Caps:
- 1) 40 percent in 2017,
 - 2) 35 percent in 2018, and
 - 3) 30 percent in 2019.

2. The Commission retains certain provisions from the current Shared Savings DSM Financial Incentive Plan, with slight modifications, as follows:
 - A. CIP-exempt customers shall not be allocated costs for the new shared savings incentive. Sales to CIP-exempt customers shall not be included in the calculation of utility energy savings goals.
 - B. If a utility elects not to include a third-party CIP project, the utility cannot change its election until the beginning of subsequent years.
 - C. If a utility elects to include a third-party project, the project's net benefits and savings will be included in the calculation of the energy savings and will count toward the 1.5 percent savings goal.
 - D. The energy savings, cost, and benefits of modifications to non-third-party projects will be included in the calculation of a utility's DSM incentive.
 - E. The costs of any mandated, non-third-party projects (e.g., the 2007 Next Generation Energy Act assessments, University of Minnesota Initiative for Renewable Energy and the Environment costs) shall be excluded from the calculation of net benefits and energy savings achieved and incentive awarded.
 - F. Costs, energy savings, and energy production related to Electric Utility Infrastructure Costs, solar installation, and biomethane purchases shall not be included in energy savings for DSM financial incentive purposes.
 - G. The new Shared Savings DSM Incentive Plan shall be in effect for 2017-2019.
 - H. Utilities may discontinue the annual February 1 compliance filing because a scale of net benefits will no longer be required since the Department's proposal sets percentages at certain savings thresholds and calibrates the mechanism to dollars per unit of energy.

With respect to net benefits, CenterPoint provided in its *Petition* the benefit-cost results of the revenue requirements test associated with the Company's 2018 CIP. According to the Company, CPE's 2018 CIP activities resulted in an estimated \$94,309,789 of net benefits before the requested incentive.³ CenterPoint also stated that its CIP activities achieved first-year annual energy savings in 2018 of 1,980,534 Dth, equivalent to 1.40 percent of its retail sales to non-CIP-opt-out customers. Based on the terms and conditions of its approved DSM incentive plan, CenterPoint requested approval of a 2018 financial incentive of \$11,317,175.

³ *Petition*, page 40.

2. *The Department's Review of CPE's Proposed 2018 DSM Financial Incentive*

Since CenterPoint exceeded energy savings equal to 1.2 percent of the Company's retail sales, CenterPoint is eligible for an incentive equal to 12 percent of its 2018 net benefits, or an incentive of \$11,317,175 (net benefits of \$94,309,789 x 12 percent = \$11,317,175.) Although there is a 2018 incentive expenditure cap of 35 percent, CenterPoint's calculated incentive of \$11,317,175 is lower than the Shared Savings 2018 expenditure cap of 35 percent (\$11,317,175/\$34,888,321 = 32 percent). Therefore, consistent with the Commission's August 5, 2016 *Order*, CenterPoint's 2018 financial incentive equals 12 percent of its 2018 net benefits.⁴

The Department's engineering-oriented analysis of the demand and energy savings that underpin CenterPoint's proposed 2018 DSM financial incentive of \$11,317,175 is ongoing and will not be completed before the instant comments are due. The existence of this lag between the Company's request for recovery of the incentive and the completion of the Department's engineering review is a recurring phenomenon.

In 2018, the Department compensated for this lag by simply assuming CenterPoint's claimed energy savings for 2017 were correct as filed, with the intent to make in the instant proceeding any adjustments approved by the Deputy Commission of the Department. However, the Deputy Commissioner approved CenterPoint's 2018 Status Report, covering 2017 CIP activity, without any relevant adjustments in Docket No. G008/CIP-16-119.03, and thus none are required in this docket.⁵

In its present *Petition*, CenterPoint reported gas first-year energy savings of 1,980,534 Dth from 2018 CIP activity, and so the Department used this figure in reviewing the present docket. If the Deputy Commissioner subsequently approves changes to CenterPoint's 2018 energy savings claims that impact either recovery of CIP budgets or levels of Shared Savings DSM financial incentives, those changes can be incorporated in the Company's 2019 filing, which will be made by May 1, 2020.

The Department verified the calculation of CenterPoint's financial incentive and recommends that the Commission approve CenterPoint's proposed 2018 DSM financial incentive of \$11,317,175 to be included in the Company's CIP tracker account no sooner than the issue date of the Commission's *Order* in the present docket.

⁴ In 2019, the Shared Savings Expenditure cap falls to 30 percent. Under a 30 percent expenditure cap, CenterPoint's 2018 incentive would have been capped at \$10,466,496 (30% x 2018 CIP expenditures of \$34,888,321). CenterPoint calculated its expenditure cap by multiplying the 35 percent cap times its total spending of \$35,776,772 minus \$548,226 for the EnerChange project and \$340,225 for the Energy Smart project, in accordance with *In the Matter of Commission Review of Utility Performance Incentives for Energy Conservation Pursuant to Minn. Stat. § 216B.241, Subd. 2c*, Docket No. E,G999/CI-08-133, Order Adopting Modifications to Shared Savings Demand-Side Management Financial Incentive Plan (Aug. 5, 2016), Ordering Point 2E,

⁵ The Decision was issued September 17, 2018.

B. CENTERPOINT'S 2018 CIP TRACKER ACCOUNT

In its *Petition*, CenterPoint requested approval of its report on recoveries and expenditures in the Company's tracker account during 2018. Table 1 below provides a summary of the activity in the Company's CIP tracker account during 2018.

Table 1: Summary of CenterPoint's CIP Tracker Account in 2018

Description	Time Period	Amount
Beginning Balance	January 1, 2018	\$3,899,087
CIP Expenditures	January 1 through December 31, 2018	\$35,776,772
Recovery via Base Rates (CCRC)	January 1 through December 31, 2018	(\$32,407,126)
Recovery via CCRA	January 1 through December 31, 2018	(\$20,110,505)
Carrying Charges	January 1 through December 31, 2018	(\$98,415)
2017 DSM Financial Incentive	September 1, 2018	\$12,456,038
Interim Rate Recovery	January 1 through December 31, 2018	(\$6,300,591)
Adjustments ⁶	January 1 through December 31, 2018	\$687,931
Ending Balance (Over)/Under	December 31, 2018	(\$6,096,809)

The Company's CIP tracker reflects the Commission's 2017 DSM financial incentive of \$12,456,038, approved September 5, 2018 in Docket No. G008/M-18-120.

The Department recommends that the Commission approve CenterPoint's 2018 CIP tracker account activity as provided in the Company's *Petition* and summarized in Table 1 above, resulting in a December 31, 2018 tracker balance of **(\$6,096,809)**.

C. CENTERPOINT'S PROPOSED 2019/2020 CCRA

Minnesota law states in relevant part that the Commission "may permit a public utility to file rate schedules providing for annual recovery of the costs of energy conservation

⁶ In November, 2018 an adjustment for CCRC implementation was made to account for true-up of the interim CCRC and to account for the misalignment of the Company's billing cycle with the implementation of the new CCRC on November 1. The process for interim CCRA true-up is described in the Matter of the Application of CenterPoint Energy Resources Corp., d/b/a CenterPoint Energy Minnesota Gas for Authority to Increase Rates for Natural Gas Utility Service in Minnesota, Docket No. G008/GR-15-424, Compliance Filing (CenterPoint, Sept. 8, 2016).

improvements.”⁷ This annual CIP recovery mechanism is generally referred to as the Conservation Cost Recovery Adjustment (CCRA).

As CenterPoint stated on page 51 of its *Petition*, the purpose of the CCRA is to allow the Company to recover approved CIP expenses not recovered through the Conservation Cost Recovery Charge (CCRC), which is included in base rates. Further, CenterPoint stated:

With this filing, CenterPoint Energy proposes to increase the CCRA to \$0.0576^[8] per Dth beginning September 1, 2019. This rate is designed to reduce the CIP Tracker balance as close to zero as possible by the end of 2020. In the event that Commission approval of the proposed adjustment is delayed beyond August 19, 2019 (in order to implement the rate change by September 1), the Company will continue to apply the current CIP Adjustment of \$0.00441 per Dth up to the first of the month following Commission approval of a revised factor. In this case, the Company will also plan to recalculate the CCRA.

To calculate its CCRA, CenterPoint used Excel’s goal-seek function⁹ to find the CCRA value that would provide a year-end balance as close to zero as possible by the end of 2019. Pages A-5 and A-7 in the appendix of the *Petition* show the corresponding projections. On pages 50-51 of the *Petition*, CenterPoint stated:

With this filing, CenterPoint Energy proposes to increase the CCRA to \$0.0576 per Dth beginning September 1, 2019. This rate is designed to reduce the CIP Tracker balance as close to zero as possible by the end of 2020. In the event that Commission approval of the proposed adjustment is delayed beyond August 19, 2019 (in order to implement the rate change by September 1), the Company will continue to apply the current CIP Adjustment of \$0.00441 per Dth up to the first of the month following Commission approval of a revised factor. In this case, the Company will also plan to recalculate the CCRA.

⁷ See Minn. Stat. §216B.16, Subd. 6b(c).

⁸ The amount is actually \$0.0567 per Dth (\$0.00567 per therm). See page 53 of the *Petition*.

⁹ Goal Seek is a process whereby Excel calculates a value by performing what-if analysis on a given set of values. Excel’s Goal Seek feature allows the user to adjust a value used in a formula to achieve a specific goal. Or, put another way, Goal Seek determines input values needed to achieve a specific goal.

To calculate its CCRA, CenterPoint used Excel's goal-seek function¹⁰ to find the CCRA value that would provide a year-end balance as close to zero as possible by the end of 2020. CenterPoint's projections for 2019 and 2020 (Attachments B-1 and B-2 of the *Petition*) show that an increase in the CCRA to \$0.0567 per Dth taking effect on September 1, 2019 and remaining in effect through 2020 would achieve a near-zero CIP Tracker balance by the end of 2020.

The Department concludes that CenterPoint's approach for estimating an appropriate CCRA level is reasonable and recommends that the Commission approve a CCRA of \$0.0567 per Dth beginning September 1, 2019.

D. CENTERPOINT'S PROPOSED BILL LANGUAGE FOR CCRA

CenterPoint proposed the following bill language to alert customers of the change to the CCRA:

The PUC has approved a Conservation Cost Recovery Adjustment (CCRA) factor of \$0.00567 per therm. This charge will be used to fund energy conservation activities and has been added to your delivery charge. For more information, please call or visit our website.

Beginning this year, CenterPoint proposes removing the phone number and website address from the bill message because they already appear on the bill as the Company's contact information. The Company prefers to remove the duplicative information to reserve space for other bill messages.

At the Department's request, CenterPoint provided a customer bill to demonstrate that the Company's website address and phone number could be easily found should a customer have questions about the CCRA. After reviewing the bill sample, the Department concludes that CPE's proposal to change its bill message is reasonable because the Company's website address and phone number are positioned near the CCRA announcement. Thus, the Department recommends that the Commission approve CPE's proposed language for its customer notice.

E. REVIEW OF CENTERPOINT'S CIP ACHIEVEMENTS AND FINANCIAL INCENTIVES (2008-2018)

In Attachment A, Table 1, the Department presents a historical comparison of CenterPoint's CIP activities during the period 2008 through 2018. The table provides an indication of how the

¹⁰ Goal Seek is a process whereby Excel calculates a value by performing what-if analysis on a given set of values. Excel's Goal Seek feature allows the user to adjust a value used in a formula to achieve a specific goal. Or, put another way, Goal Seek determines input values needed to achieve a specific goal.

Company's DSM financial incentives, carrying charges, year-end tracker balances, CIP expenditures, and energy savings have changed during that period.

An analysis of Attachment A, Table 1 indicates that, comparing the Company's 2018 achievements to the average of its achievements for 2007-2009 (the three years prior to implementation of the Shared Savings DSM financial incentive), the Company's:

- first-year energy savings grew 130 percent;
- CIP expenditures grew 300 percent;
- net benefits grew 10 percent;
- average CIP cost per first-year Dth saved grew 76 percent;
- average CIP expenditures + incentive cost per first-year Dth saved grew 113 percent;
- Shared Savings incentives as a percent of expenditures grew 266 percent; and
- Shared Savings incentives as a percent of net benefits grew 1,230 percent.

This analysis indicates that CenterPoint's net benefits only grew 10 percent despite expenditures growing 300 percent. There are two reasons for this result. First, CenterPoint's natural gas costs, and thus avoided costs, decreased significantly between the period 2007-2009 and the 2017-2019 triennial CIP. Second, CenterPoint's costs for achieving additional savings grew 76 percent between the average of 2007-2009 and 2018. On average, the costs per first-year Dth grew 69 percent for Minnesota natural gas investor-owned utilities. The Department concludes that the ratio of growth in CenterPoint's net benefits to expenditures is reasonable and with energy savings equaling 1.9 percent of retail sales in 2017 and 1.4 percent in 2018, CenterPoint is achieving significant energy savings.

IV. THE DEPARTMENT'S RECOMMENDATIONS

The Department recommends that the Commission:

- 1) approve CenterPoint's proposed 2018 DSM financial incentive of \$11,317,175 to be included in the Company's CIP tracker account no sooner than the issue date of the Commission's *Order* in the present docket;
- 2) approve CenterPoint's 2018 CIP tracker account, as summarized in Table 1 above, resulting in a December 31, 2018 tracker balance of **(\$6,096,809)**;
- 3) approve a 2019/2020 CCRA of \$0.00567 per therm, effective September 1, 2019; and
- 4) approve the Company's proposed bill message concerning its CCRA.

/ar

Table 1: CenterPoint Energy Historical CIP Energy Savings, Expenditures, Shared Savings Incentives, and Net Benefits

Line No.	1	3	4	5	6	7	8	9	10	11	12	13
Year	Achieved Energy Savings (Dth)	CIP Expenditures	Net Benefits	DSM Financial Incentive	Carrying Charges	Year-End Tracker Balance	Average cost per Dth Saved	Average cost per Dth Saved (including incentives)	Incentive as a % of CIP Expenditures	Incentive as a % of Net Benefits	Carrying Charges as a % of Expenditures	Year-End Tracker Balance as a % of Expenditures
2007	825,030	\$7,553,362	\$84,229,798	\$530,405	NA		\$9.16	\$9.80	7%	1%	NA	0%
2008	827,340	\$8,391,297	\$75,518,736	\$484,182	NA	\$8,147,421	\$10.14	\$10.73	6%	1%	NA	97%
2009	938,978	\$10,117,898	\$97,044,437	\$1,394,200	\$507,115	\$6,879,416	\$10.78	\$12.26	14%	1%	5%	68%
2010	1,300,228	\$16,574,737	\$69,366,886	\$3,493,921	\$296,465	\$10,216,655	\$12.75	\$15.43	21%	5%	2%	62%
2011	1,488,231	\$18,719,923	\$65,031,976	\$4,590,392	\$450,945	\$9,248,025	\$12.58	\$15.66	25%	7%	2%	49%
2012	1,330,518	\$19,680,178	\$54,350,138	\$3,207,411	\$418,624	\$14,225,552	\$14.79	\$17.20	16%	6%	2%	72%
2013	1,584,019	\$23,222,379	\$88,349,823	\$10,890,131	\$344,598	\$8,501,064	\$14.66	\$21.54	47%	12%	1%	37%
2014	1,701,716	\$24,352,083	\$80,928,431	\$11,608,486	(\$443,194)	\$2,285,733	\$14.31	\$21.13	48%	14%	-2%	9%
2015	1,851,930	\$26,394,800	\$75,451,306	\$12,732,019	(\$13,773)	\$2,932,026	\$14.25	\$21.13	48%	17%	0%	11%
2016	2,006,014	\$29,897,277	\$97,070,376	\$13,791,346	(\$8,953)	\$7,461,117	\$14.90	\$21.78	46%	14%	0%	25%
2017	2,632,545	\$32,131,251	\$160,452,310	\$12,456,038	(\$19,848)	\$3,899,087	\$12.21	\$16.94	39%	8%	0%	12%
2018	1,980,534	\$34,888,321	\$94,309,789	\$11,317,175	\$98,415	\$6,096,809	\$17.62	\$23.33	32%	12%	0%	17%

CERTIFICATE OF SERVICE

I, Sharon Ferguson, hereby certify that I have this day, served copies of the following document on the attached list of persons by electronic filing, certified mail, e-mail, or by depositing a true and correct copy thereof properly enveloped with postage paid in the United States Mail at St. Paul, Minnesota.

**Minnesota Department of Commerce
Comments**

Docket No. G008/M-19-298

Dated this 28th day of June 2019

/s/Sharon Ferguson

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Generic Notice	Commerce Attorneys	commerce.attorneys@ag.state.mn.us	Office of the Attorney General-DOC	445 Minnesota Street Suite 1800 St. Paul, MN 55101	Electronic Service	Yes	OFF_SL_19-298_M-19-298
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First Name	Last Name	Email	Company Name	Address	Delivery Method	View Trade Secret	Service List Name
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Samantha	Norris	samanthanorris@alliantenergy.com	Interstate Power and Light Company	200 1st Street SE PO Box 351 Cedar Rapids, IA 524060351	Electronic Service	No	OFF_SL_19-298_M-19-298
Audrey	Partridge	apartridge@mncee.org	Center for Energy and Environment	212 3rd Ave. N. Suite 560 Minneapolis, Minnesota 55401	Electronic Service	No	OFF_SL_19-298_M-19-298
Joyce	Peppin	joyce@mrea.org	Minnesota Rural Electric Association	11640 73rd Ave N Maple Grove, MN 55369	Electronic Service	No	OFF_SL_19-298_M-19-298

First Name	Last Name	Email	Company Name	Address	Delivery Method	View Trade Secret	Service List Name
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First Name	Last Name	Email	Company Name	Address	Delivery Method	View Trade Secret	Service List Name
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First Name	Last Name	Email	Company Name	Address	Delivery Method	View Trade Secret	Service List Name
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