



414 Nicollet Mall
Minneapolis, MN 55401

December 3, 2018

**PUBLIC DOCUMENT –
NOT PUBLIC DATA HAS BEEN EXCISED**

—Via Electronic Filing—

Daniel P. Wolf
Executive Secretary
Minnesota Public Utilities Commission
121 7th Place East, Suite 350
St. Paul, MN 55101

RE: SHERCO UNIT 3 LITIGATION UPDATE
SETTLEMENT OF LAWSUIT AGAINST GE
DOCKET NO. E999/AA-18-373
DOCKET NO. E999/AA-17-492
DOCKET NO. E999/AA-16-523
DOCKET NO. E999/AA-14-579
DOCKET NO. E002/GR-13-868
DOCKET NO. E002/GR-12-961

Dear Mr. Wolf:

Northern States Power Company, doing business as Xcel Energy (the Company), submits this letter as an update regarding our litigation with General Electric Company, General Electric International, Inc., GE Energy Services, Inc., and GE Energy Control Solutions, Inc. (collectively, GE) in connection with the November 19, 2011, outage (the Event) at Unit 3 of the Sherburne County Generating Station (Sherco 3). In this letter, the Company discusses its proposal to provide settlement proceeds to customers and provides a further update on the litigation proceedings.

I. Provision of Settlement Proceeds to Customers

As noted in our November 2, 2018, letter, the Company settled its lawsuit with GE on September 20, 2018. The Company's claims against GE were dismissed on October 9, 2018. The settlement resulted in a total payment of **[PROTECTED DATA BEGINS PROTECTED DATA ENDS]** (the Settlement

Amount) to the Company (on a total company basis). The Minnesota jurisdictional portion of the Settlement Amount is **[PROTECTED DATA BEGINS PROTECTED DATA ENDS]**. The jurisdictional allocation of the Settlement Amount was calculated based on monthly sales from November 2011 (when the Sherco 3 outage began) until October 2013 (when Sherco 3 was released for MISO dispatch).

The Company plans to return this amount as a credit to customers through the monthly fuel clause adjustment for the month beginning February 1, 2019. The refund will be returned to current customers through the normal MWh allocation for each service category. For residential customers, the average credit will amount to approximately **[PROTECTED DATA BEGINS PROTECTED DATA ENDS]**.

II. Update on Litigation Proceedings

Although the Company reached a settlement with GE, the Company's insurers at the time of the outage separately proceeded to trial against GE. After the trial, the jury returned a special verdict that answered a series of questions about the claims at issue. We understand that the jury's answers to those questions resulted in some ambiguity as to which party ultimately prevailed, and both GE and the insurers asked the court to enter judgment in their favor following the verdict.

After considering both requests, the court entered judgment in favor of GE. The court found that two claims had been presented to the jury in the trial—gross negligence and willful and wanton conduct—and that the jury found in favor of GE on both counts. The court determined that although the jury apportioned fault as part of the special verdict, such a finding was irrelevant in light of the court's previous rulings and the standards governing the claims at issue (gross negligence and willful/wanton conduct).

We expect the insurers to appeal the judgement and anticipate the appellate process lasting several months at a minimum.

III. Trade Secret Justification

The Settlement Amount is subject to a confidential settlement agreement between the Company and GE, the terms of which prohibit the Company from publicly disclosing

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the Settlement Amount. We have therefore designated the Settlement Amount in this filing as trade secret under Minn. Stat. 13.37(1)(b) and Minn. R. 7289.0500.

* * *

We have electronically filed this document with the Commission, and copies have been served on the parties on the attached service list. Please contact me at ryan.j.long@xcelenergy.com or 612-215-4659 if you have any questions regarding this filing.

Sincerely,

/s/

RYAN LONG
LEAD ASSISTANT GENERAL COUNSEL

c: Service List

CERTIFICATE OF SERVICE

I, Jim Erickson, hereby certify that I have this day served copies of the foregoing document on the attached list of persons.

xx by depositing a true and correct copy thereof, properly enveloped with postage paid in the United States mail at Minneapolis, Minnesota

xx electronic filing

DOCKET NOS. E999/AA-18-373
E999/AA-17-492
E999/AA-16-523
E999/AA-14-579
E002/GR-13-868
E002/GR-12-961

Dated this 3rd day of December 2018

/s/

Jim Erickson

[illegible]

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Robyn	Woeste	robynwoeste@alliantenergy.com	Interstate Power and Light Company	200 First St SE Cedar Rapids, IA 52401	Electronic Service	No	OFF_SL_17-492_AA-17-492
Daniel P	Wolf	dan.wolf@state.mn.us	Public Utilities Commission	121 7th Place East Suite 350 St. Paul, MN 551012147	Electronic Service	Yes	OFF_SL_17-492_AA-17-492
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Generic Notice	Commerce Attorneys	commerce.attorneys@ag.state.mn.us	Office of the Attorney General-DOC	445 Minnesota Street Suite 1800 St. Paul, MN 55101	Electronic Service	Yes	OFF_SL_18-373_AA-18-373
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Bruce	Gerhardson	bgerhardson@otpc.com	Otter Tail Power Company	PO Box 496 215 S Cascade St Fergus Falls, MN 565380496	Electronic Service	No	OFF_SL_18-373_AA-18-373
Douglas	Larson	dlarson@dakotaelectric.com	Dakota Electric Association	4300 220th St W Farmington, MN 55024	Electronic Service	No	OFF_SL_18-373_AA-18-373
Samantha	Norris	samanthanorris@alliantenergy.com	Interstate Power and Light Company	200 1st Street SE PO Box 351 Cedar Rapids, IA 524060351	Electronic Service	No	OFF_SL_18-373_AA-18-373

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Randy	Olson	rolson@dakotaelectric.com	Dakota Electric Association	4300 220th Street W. Farmington, MN 55024-9583	Electronic Service	No	OFF_SL_18-373_AA-18-373
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Robyn	Woeste	robynwoeste@alliantenergy.com	Interstate Power and Light Company	200 First St SE Cedar Rapids, IA 52401	Electronic Service	No	OFF_SL_18-373_AA-18-373
Daniel P	Wolf	dan.wolf@state.mn.us	Public Utilities Commission	121 7th Place East Suite 350 St. Paul, MN 551012147	Electronic Service	Yes	OFF_SL_18-373_AA-18-373
Mary	Wolter	mary.wolter@wecenergygroup.com	Minnesota Energy Resources Corporation (HOLDING)	231 West Michigan St Milwaukee, WI 53203	Electronic Service	No	OFF_SL_18-373_AA-18-373